

System for Ensuring Stable Provision of Specified Essential Infrastructure Services under the Economic Security Promotion Act

April 1, 2025

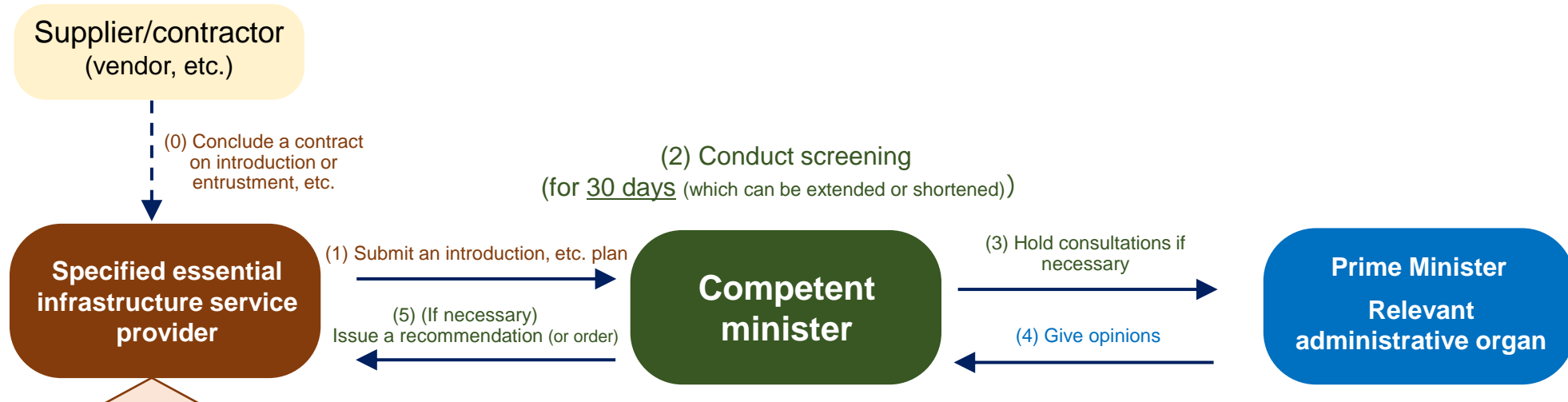
[Notes]

- This document outlines the System for Ensuring Stable Provision of Specified Essential Infrastructure Services described in Chapter III of the Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures (Act No. 43 of 2022).
- Since this document is intended for explanatory and public relations purposes only, and some information has been omitted and translated for the sake of clarity, please refer to the relevant laws and regulations when providing notification and completing other procedures.
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Outline of the System for Ensuring Stable Provision of Specified Essential Infrastructure Services under the Economic Security Promotion Act

- This system aims to prevent critical facilities of essential infrastructures from being misused as a means of disrupting the stable provision of infrastructure services. Under this system, the government designates essential infrastructure business (specified essential infrastructure business) and service providers (specified essential infrastructure service providers) under certain criteria, and such service providers that introduce government-designated critical facilities (specified critical facilities) or entrust the maintenance and management, etc. of such facilities to a third party must give prior notification to the government and undergo its screening. The system started on May 17, 2024, under the Economic Security Promotion Act, which came into effect November 2023.
- When concluding that the specified critical facility included in a submitted plan has a high risk of being misused as a means for actions taken from outside Japan to interfere with the stable provision of specified essential infrastructure services, the government may recommend (or order) that the service provider submitting the plan take the necessary measures to prevent disruptive actions before introducing the facility or entrusting its maintenance and management, etc. to a third party.

System structure



(1) Regulated business sectors...The Act lists the 14 regulated business sectors as shown below. **The Cabinet Order** details the regulated business and services within each business sector.

1. Electricity	2. Gas	3. Oil	4. Water	5. Railway
6. Truck transport	7. International maritime cargo	8. Port and Harbor Transportation*	9. Air transport	10. Airports
11. Telecommunications	12. Broadcasting	13. Postal service	14. Financial services	15. Credit cards

(2) Regulated service providers (specified essential infrastructure service providers)...For each business sector, the competent minister establishes designation criteria under **the Ministerial Order** and **designates and publicly announces** regulated service providers.

* The field of "Port and Harbor Transportation" came into effect on 1 April 2025.

Scope of Regulation

- The government has specified business, service providers, specified critical facilities, and critical maintenance and management, etc. to be regulated in Cabinet and Ministerial Orders.
 - Specified essential infrastructure business: **The Act** lists the regulated business sectors (electricity, telecommunications, broadcasting, financial services, etc.), and **the Cabinet Orders** detail the regulated business and services within each business sector.
 - Specified essential infrastructure service provider: **The Ministerial Order** provides designation criteria for each business sector and designates specified essential infrastructure service providers.
 - Specified critical facility: **The Ministerial Order** specifies facilities that are critical to the stable provision of services and can be misused to disrupt the stable provision of services.
 - Critical maintenance and management, etc.: **The Ministerial Order** specifies maintenance and management, etc. that is critical to the maintenance of the functions of a specified critical facility or the stable provision of services and could be misused to disrupt the stable provision of services.

● Regulated business sectors

Electricity	Gas	Oil
Water	Railways	Truck transport
International maritime cargo	Port and Harbor Transportation*	Air transports
Airports	Telecommunications	Broadcasting
Postal Service	Financial services	Credit cards

*Added by amendment of the law in 2024 (Came into effect on 1 April 2025).

Specified essential infrastructure business
(detailed by the Cabinet Order)

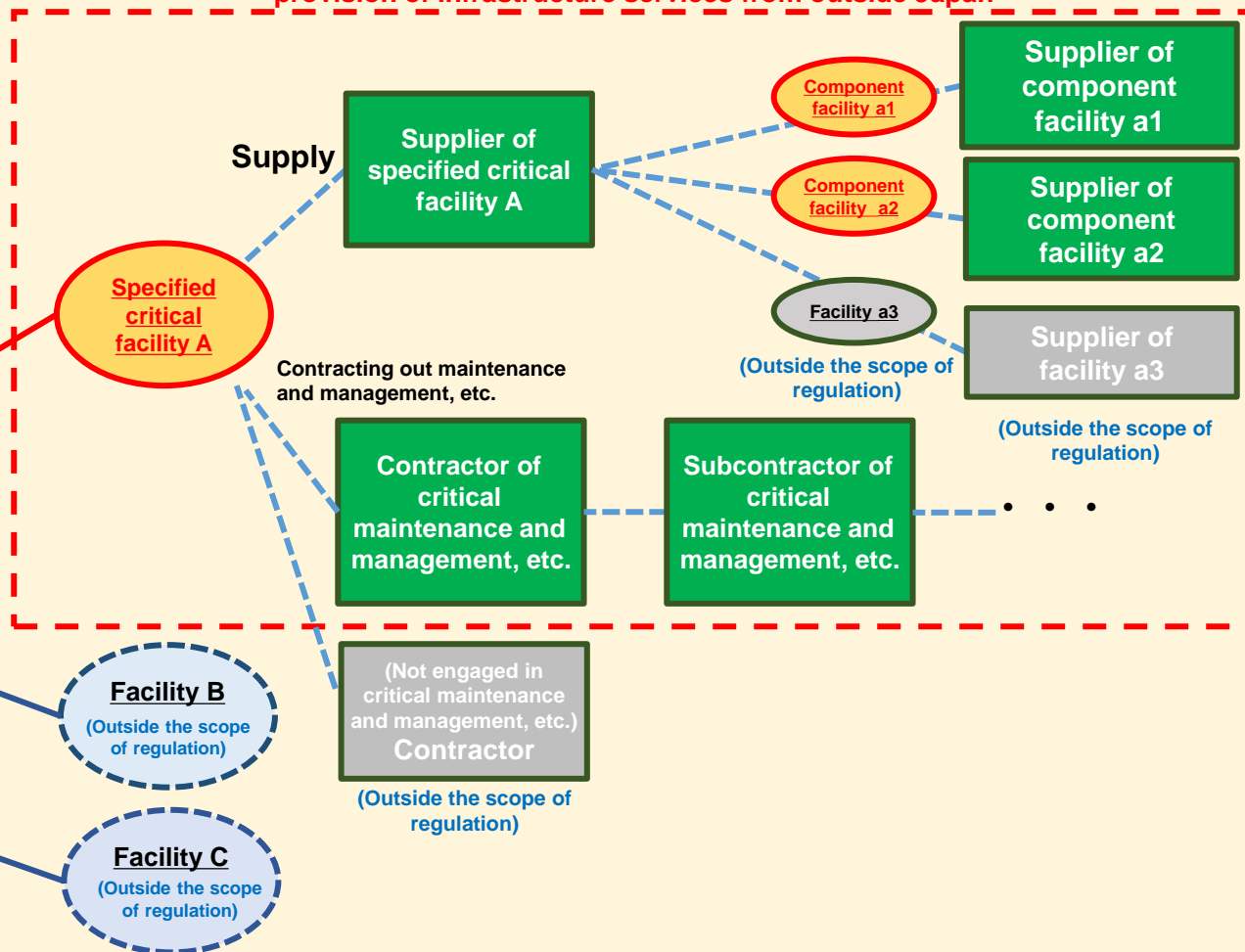
Specified essential infrastructure service provider X

Service provider Y
(Outside the scope of regulation)

Facility B
(Outside the scope of regulation)

Facility C
(Outside the scope of regulation)

Screening to identify the risk of being misused as a means of disrupting the stable provision of infrastructure services from outside Japan



Information Required in the Introduction, Etc. Plan

For introducing a specified critical facility	Descriptions
General information about the specified critical facility	<ul style="list-style-type: none"> ✓ The type, name, functions, and places of installation and use of the facility
Details and date of the introduction	<p>[Details]</p> <ul style="list-style-type: none"> ✓ The purpose of the introduction and information on the parties involved in the introduction (the names of the parties and the names of their representatives, their addresses, their countries with jurisdiction over incorporation [if the party is an individual, their name, address, and <u>nationality</u>], and their relationship with the introduction) <p>[Date]</p> <ul style="list-style-type: none"> ✓ When a series of the necessary processes for introduction will be completed and the facility will be available for the provision of infrastructure services
<p>Information on the supplier of the specified critical facility required by the order of the competent ministry</p> <p>(* Provide the same information on the component facility suppliers, contractor, and subcontractors.</p>	<ul style="list-style-type: none"> ✓ The name of the supplier, the name of its representative, its address, and its country with jurisdiction over incorporation (if the supplier is an individual, their name, address, and <u>nationality</u>) [Necessary accompanying document: a certificate of registered information*] ✓ Information on the direct holders of 5% or more of the supplier's voting rights (their names, <u>countries with jurisdiction over incorporation or nationalities</u>, and proportions of voting rights held) ✓ Names, <u>dates of birth</u>, and <u>nationalities</u> of the supplier's officers and other equivalent members (as listed in (1) to (5) below) [Necessary accompanying documents: a certificate of registered information*, <u>copies of their passports</u>, and others] <ol style="list-style-type: none"> (1) Stock company: Directors (directors and executive officers if the supplier is a company with nominating committee, etc.) (2) Membership company: Executive members (3) General incorporated association, general incorporated foundation, or small and medium-size enterprise cooperative: Directors (4) Partnership under the Civil Code: Members (5) Other corporation or organization: Persons equivalent to those specified in (1) to (4) ✓ If the supplier's annual sales from transactions with a foreign government, etc. (the national government, a governmental organization, a local government, the central bank, or a political party or other political organization of a foreign country or territory) accounted for 25% or more of its total annual sales in the last three years: the relevant business years, <u>the name of the foreign government, etc.</u>, and <u>the percentage of the sales derived from the foreign government, etc.</u> ✓ Location of the plant or place of business that will manufacture the facility (the name of the country or territory) <p>* Certificates of registered information are not required if the supplier has been registered in Japan.</p>
Information on the introduction of the specified critical facility or the entrustment of critical maintenance and management, etc. required by the order of the competent ministry	<ul style="list-style-type: none"> ✓ <u>Implementation status of required risk management measures</u>

Note: The underlined information can be **provided directly to the competent minister, bypassing the specified essential infrastructure service provider.**

* Documentation supporting the implementation of some required risk management measures can also be provided directly to the competent minister (see the instructions on how to fill out the form).

How to Fill Out the Introduction, Etc. Plan (for introduction) (1/3)

Example

1. General information of the specified critical facility

(1)	Type	Ministerial Order on XX on the Promotion of Security Assurance through XX Equipment for XX.
(2)	Name	Equipment related to XX
(3)	Functions	Provision of services related to ____, ____, XX,.
(4)	Place of installation	XX (△, X prefecture)
	Place of use	XX

2. Details and date of the introduction of the specified critical facility

(5)	Details of the introduction	Purpose of the introduction		Introduction in line with XX. Introduction in line with ...
		Information on the parties involved in the introduction	Organization's name and its representative's name	Name: xx Representative: xxx
			Address	XX
			Country with jurisdiction over incorporation	XX
		Relationship with the introduction	XX applies	
(6)	Date of the introduction		XX/XX/XX	

[Instructions]

- (1) Indicate which of the specified critical facilities listed in the Ministerial Orders the facility falls under.
- (2) Provide information about the facility that can identify it, such as the product name and model number.
- (3) Describe the functions unique to the facility.
- (4) Provide the place of installation and the place of use or operation of the facility. Provide the prefecture's name at least. If the facility is located outside Japan, provide the name of the administrative district equivalent to a Japanese prefecture (e.g. state, province, county)
- (5) Provide information on those involved in the introduction of the facility from the supplier who fall under 1) or 2) below:
 - 1) Person who manages the supply network of the facility or plays another important role in the introduction of the facility as an intermediary between the specified essential infrastructure service provider and the supplier
 - 2) Person who checks the implementation status of measures to prevent disruptions to the facility, including cybersecurity measures, and may bring changes to the facility's functions
- (6) Provide when (date) a series of the necessary processes (design, development, assembly, installation, etc.) for the introduction of the facility will be completed and the facility will be available for the provision of infrastructure services. If a specific date has not been decided, provide a planned month and year and add "(tentative)."

How to Fill Out the Introduction, Etc. Plan (for introduction) (2/3)

Example

3. Information on the supplier of the specified critical facility

(1) Supplier of the specified critical facility

(7)

Supplier' name and its representative's name	Name: xx Representative: xxx
Address	XX
Country with jurisdiction over incorporation	XX

(2) Direct holders of 5% or more of the voting rights of the supplier of the specified critical facility

(8)

	Name	Country with jurisdiction over incorporation or nationality	Proportion of voting rights held (%) (the date of confirmation)
(1)	XX	XX	X.X% (XX/XX/XX)
(2)			
(3)			
(4)			

(3) Officers of the supplier of the specified critical facility

(9)

	Name	Date of birth	Nationality
(1)	XX	XX/XX/XX	XX
(2)			
(3)			
(4)			

[Instructions]

- (7) Provide the name of the country or territory that has the law governing the supplier's incorporation.
- (8) The proportion of voting rights held is the proportion of the voting rights held in the total voting rights of the supplier as of a day within two months before the date of notification. Round off the number to two decimal places.
- (9) Provide the names, dates of birth, and nationalities of the supplier's officers (as defined in the order of the competent ministry; for example, directors and executive officers if the supplier is a company with nominating committee, etc.).

How to Fill Out the Introduction, Etc. Plan (for introduction) (3/3)

Example

(4) The supplier's percentage of sales from transactions with a foreign government, etc.

(10) 3 years from _____ to _____ (11) Applicable <input type="checkbox"/> Not applicable <input checked="" type="checkbox"/>		
Business year	Name of the foreign government, etc.	Percentage (%)

(5) Location of the plant or place of business that will manufacture the specified critical facility

(12) Location of the plant or place of business	XX	
(Confirmation) Before introducing the specified critical facility, the specified essential infrastructure service provider has confirmed that the supplier of the facility will not only sell the facility to us, but also manufacture the facility on its own at the plant or place of business specified above, and will meet the functional requirements of the facility.	<input checked="" type="checkbox"/>	

4. Information on the component facilities (omitted) * Same information as the information on the specified critical facility

5. Measures to prevent specified disruptive actions taken by the specified essential infrastructure service provider in introducing the specified critical facility

Measure	Checkbox	Notes
(1)-1 The specified essential infrastructure service provider has confirmed that the service provider and other parties concerned have inspection arrangements in place for the specified critical facility, including an acceptance inspection to check for any malicious code and other security problems, and will conduct vulnerability tests of the facility before its introduction.* * Excluding inspections and vulnerability tests conducted by the supplier of the facility or the supplier of each component facility	<input checked="" type="checkbox"/>	* If different risk management measures have been taken, describe them.

[Instructions]

(10) State the last three business years ending on or before the day two months before the date of notification.

Suppose the supplier's business year begins on April 1 and ends on March 31 of the following year. If the plan is submitted on August 1, 2025, the last three business years are the three years from April 1, 2022, to March 31, 2025. If the plan is submitted on May 1, 2025, the last three business years are the three years from April 1, 2021, to March 31, 2024.

(11) If the supplier's annual sales from transactions with the national government, governmental organizations, local governments, the central bank, and political parties and other political organizations of a foreign country or territory accounted for 25% or more of its total annual sales for a business year within the three-year period, check "Applicable." If not, check "Not applicable." If you check "Not applicable," you do not need to fill in the sections "Business year," "Name of the foreign government, etc.," and "Percentage."

(12) Provide the name of the country or territory where the plant or place of business that will manufacture the facility is located.

Government's Policy on Risk Management Measures

Guiding Principles

- **To effectively reduce the risk that the specified critical facility to be introduced or subject to critical maintenance and management, etc. by a contractor is misused as a means for specified disruptive actions**, the specified essential infrastructure service provider **should assess the risk and take risk management measures proportionate to the extent and level of the risk**. The implementation status of such risk management measures needs to be checked based on the information provided in the submitted introduction, etc. plan since the measures are an important element of screening for the risk.
- Shown below are some examples of required risk management measures. **Since risk management measures should be proportionate to the extent and level of the risk, there is no requirement to take all the measures listed below. When a competent minister checks the implementation status of required risk management measures in screening for the risk, the minister should fully consider the reality of the service/business and appropriately assess the voluntary risk management efforts of the specified essential infrastructure service provider.**

<Government's policy on risk management measures>

- ✓ As shown in "For reference" below, each specified essential infrastructure service provider must check the checkboxes for the measures that they have taken and report the measures taken to the competent minister in the introduction, etc. plan submitted. **The form of the plan provides a section to describe their voluntary risk management efforts to appropriately assess such efforts. As risk management measures should be proportionate to the extent and level of the risk, there is no requirement to take all the listed measures.**
- ✓ In checking the implementation status of required risk management measures, **a competent minister should appropriately assess the voluntary efforts of the specified essential infrastructure service provider** to see **how it actually manages the risk**. For this reason, **even if the service provider has not taken a listed measure, as long as it is considered to have taken equivalent measures to manage risk, it can check the checkbox for the measure by describing the measures in the Notes section.**
- ✓ **The implementation status of required risk management measures**, which is an important element of screening, **needs to be checked**. For this reason, an introduction, etc. plan **must be accompanied by documentation supporting the implementation**. Such documentation is not limited to contracts and manuals, but **can be any documentation that can be considered to ensure the implementation of the risk management measures, given the reality of the service/business and other factors.**

(For reference) Form for reporting risk management measures (checkboxes)

Measure	Checkbox	Notes
(1)-1 The specified essential infrastructure service provider has confirmed that the service provider and other parties concerned have inspection arrangements in place for the specified critical facility, including an acceptance inspection to check for any malicious code and other security problems, and will conduct vulnerability tests of the facility before its introduction.* * Excluding inspections and vulnerability tests conducted by the supplier of the facility or the supplier of each component facility	<input checked="" type="checkbox"/>	

Note: Documentation supporting the implementation of some required risk management measures can be provided directly to the competent minister, bypassing the specified essential infrastructure service provider.

List of Required Risk Management Measures (for introducing a specified critical facility [1])

- ✓ To effectively reduce the risk that the specified critical facility to be introduced is misused as a means for specified disruptive actions, the specified essential infrastructure service provider should assess the risk and take risk management measures proportionate to the extent and level of the risk (they are not required to take all the listed measures).
 - ✓ To submit an introduction, etc. plan, **the checkboxes for the risk management measures that have already been taken must be checked**. Even if the service provider has not taken a listed measure, as long as it is considered to have taken equivalent measures to manage risk, it can check the checkbox for the measures by describing the action in the notes section.
- * Since each ministry may require different risk management measures under its ministerial order, check the ministerial orders before submitting a plan.

Category of risk management measures	Risk management measures
<p>(1) Ensure, under the contract with the supplier of the specified critical facility and the supplier of each component facility, that the supplier manages the facility to prevent unauthorized changes to the facility in its manufacturing and other processes and that the specified essential infrastructure service provider can check its management status</p>	<p>(1)-1 The specified essential infrastructure service provider has confirmed that the service provider and other parties concerned have inspection arrangements for the specified critical facility in place, including an acceptance inspection to check for any malicious code and other security problems, and will conduct vulnerability tests of the specified critical facility before its introduction.*</p> <p>* Excluding inspections and vulnerability tests conducted by the supplier of the facility or the supplier of each component facility</p>
	<p>(1)-2 The specified essential infrastructure service provider*1 has confirmed that the service provider or the supplier of the specified critical facility has inspection arrangements for the component facilities in place, including an acceptance inspection to check for any malicious code and other security problems, and will conduct vulnerability tests of the component facilities before their introduction.*2</p> <p>*1 Including the supplier of the specified critical facility</p> <p>*2 Excluding inspections and vulnerability tests conducted by the supplier of each component facility</p>
	<p>(2)-1 The specified essential infrastructure service provider has confirmed that the supplier of the specified critical facility will meet the information security requirements (e.g., applying the latest security patches to the facility and updating the anti-malware software) specified by the service provider at the time of contracting before its introduction.</p>
	<p>(2)-2 The specified essential infrastructure service provider* has confirmed that the supplier of each component facility will meet the information security requirements (e.g., applying the latest security patches to the facility and updating the anti-malware software) specified by the service provider or the supplier of the specified critical facility at the time of contracting before its introduction.</p> <p>* Including the supplier of the specified critical facility</p>
	<p>(3)-1 The specified essential infrastructure service provider has confirmed that the supplier of the specified critical facility has a reliable quality assurance system in place for the manufacturing process (including the development process).</p>
	<p>(3)-2 The specified essential infrastructure service provider* has confirmed the supplier of each component facility has a reliable quality assurance system in place for the manufacturing process (including the development process).</p> <p>* Including the supplier of the specified critical facility</p>
	<p>(4)-1 The specified essential infrastructure service provider has confirmed that the supplier of the specified critical facility will periodically or as necessary check for any unauthorized changes to the manufacturing process (including the development process).</p>
	<p>(4)-2 The specified essential infrastructure service provider* has confirmed that the supplier of each component facility will periodically or as necessary check for any unauthorized changes to the manufacturing process (including the development process).</p> <p>* Including the supplier of the specified critical facility</p>
	<p>(5)-1 The specified essential infrastructure service provider has confirmed that the supplier of the specified critical facility will apply appropriate physical (e.g., access control using security cameras) and logical (e.g., control of access to data and systems) access control to the manufacturing environment (including the development environment) to prevent unauthorized access.</p>
	<p>(5)-2 The specified essential infrastructure service provider* has confirmed that the supplier of each component facility will apply appropriate physical (e.g., access control using security cameras) and logical (e.g., control of access to data and systems) access control to the manufacturing environment (including the development environment) to prevent unauthorized access.</p> <p>* Including the supplier of the specified critical facility</p>

List of Required Risk Management Measures (for introducing a specified critical facility [2])

Category of risk management measures	Risk management measures
<p>(1) Ensure, under the contract with the supplier of the specified critical facility and the supplier of each component facility, that the supplier manages the facility to prevent unauthorized changes to the facility in its manufacturing and other processes and that the specified essential infrastructure service provider can check its management status</p>	<p>(6) The specified essential infrastructure service provider will add unauthorized access control functions to the specified critical facility if the facility is connected to the Internet and appropriately establish and observe a manual and guidance on its use.</p>
	<p>(7) The specified essential infrastructure service provider has confirmed that the supplier of the specified critical facility and those involved in its introduction have in place a system for preventing unauthorized changes to the facility in the installation process.</p>
	<p>(8)-1 The specified essential infrastructure service provider has confirmed that the supplier of the specified critical facility is required to cooperate in detailed investigations and on-site inspections if the facility is found or suspected to have any unauthorized changes after its introduction.</p>
	<p>(8)-2 The specified essential infrastructure service provider* has confirmed that the supplier of each component facility is required to cooperate in detailed investigations and on-site inspections if the facility is found or suspected to have any unauthorized changes after its introduction. * Including the supplier of the specified critical facility</p>
<p>(2) Select suppliers based on whether only they can perform maintenance and inspection work for the specified critical facility or component facility if the facility is expected to need maintenance and inspection</p>	<p>(9)-1 The specified essential infrastructure service provider has confirmed that the supplier of the specified critical facility guarantees sufficient after-sales service (e.g., responses to failures and vulnerabilities).</p>
	<p>(9)-2 The specified essential infrastructure service provider* has confirmed that the supplier of each component facility guarantees sufficient after-sales service (e.g., responses to failures and vulnerabilities). * Including the supplier of the specified critical facility</p>
	<p>(10)-1 The specified essential infrastructure service provider has considered alternatives or taken other necessary actions for the possible unavailability of the guaranteed after-sales service (e.g., responses to failures and vulnerabilities) for the specified critical facility.</p>
	<p>(10)-2 The specified essential infrastructure service provider has considered alternatives or taken other necessary actions for the possible unavailability of the guaranteed after-sales service (e.g., responses to failures and vulnerabilities) for each component facility. * Including the supplier of the specified critical facility</p>
<p>(3) Ensure that the specified critical facility and each component facility have a system for detecting signs of attempted disruptions and are sufficiently redundant or structured to ensure the provision of infrastructure services during disruptions</p>	<p>(11) The specified essential infrastructure service provider has in place arrangements (e.g., backing up data, isolating backups, clarifying recovery procedures, and replacing the specified critical facility with a substitute facility) that ensure the provision of infrastructure services during disruptions, such as ransomware attacks, to the specified critical facility.</p>
	<p>(12) The specified essential infrastructure service provider has in place a policy and system for responding to information security incidents, such as information leaks (e.g., establishing manuals and conducting periodic incident drills).</p>
	<p>(13) The specified essential infrastructure service provider has confirmed that the service provider or the supplier of the specified critical facility will introduce an access control system for the facility and add unauthorized access monitoring functions to the facility before its introduction.</p>

List of Required Risk Management Measures (for introducing a specified critical facility [3])

Category of risk management measures	Risk management measures
<p>(4) Confirm the compliance of the supplier of the specified critical facility and the supplier of each component facility with domestic laws and international standards, including their past compliance</p>	<p>(14)-1 The specified essential infrastructure service provider has confirmed the compliance of the supplier of the specified critical facility with domestic laws and international standards (including each country's regulations based on the international standards), including its compliance for the three years before the date of notification.</p>
	<p>(14)-2 The specified essential infrastructure service provider* has confirmed the compliance of the supplier of each component facility with domestic laws and international standards (including each country's regulations based on the international standards), including its compliance for the three years before the date of notification.</p> <p>* Including the supplier of the specified critical facility</p>
<p>(5) Confirm that the appropriateness of the supply of the specified critical facility and each component facility will not be affected by foreign legislation</p>	<p>(15)-1 The specified essential infrastructure service provider ensures, under the contract with the supplier of the specified critical facility, that the supplier reports to the service provider any possible breaches of the contract that may result from the legislation of a foreign country or an external entity's instructions (including both explicit and tacit ones).</p>
	<p>(15)-2 The specified essential infrastructure service provider* ensures, under the contract with the supplier of each component facility, that the supplier reports to the service provider or the supplier of the specified critical facility any possible breaches of the contract that may result from the legislation of a foreign country or an external entity's instructions (including both explicit and tacit ones).</p> <p>* Including the supplier of the specified critical facility</p>
	<p>(16) The specified essential infrastructure service provider has confirmed that if video equipment, such as security cameras and drones, is installed or used at the place of installation or use of the specified critical facility, the appropriateness of handling the video footage obtained from the equipment will not be affected by the legislation governing the location of the head office of the equipment supplier (including the head office of a direct or indirect holder of a majority of the supplier's voting rights).</p>
<p>(6) Ensure, under the contract with the supplier of the specified critical facility and the supplier of each component facility, that the supplier provides its information that helps determine whether and to what extent it is under foreign influence and that it timely notifies any changes to such information after the conclusion of the contract</p>	<p>(17) The specified essential infrastructure service provider ensures, under the contract with the supplier of the specified critical facility and the supplier of each component facility, that the supplier provides its information, such as its name, location, officers, capital ties, business plans and records, the location of the plant where the facility or parts will be manufactured, and the departments and qualifications (e.g., qualifications and training records related to information security) of its workers involved. The service provider also ensures, under the contract, that the supplier timely notifies any changes to such information after the conclusion of the contract.</p>

* For the required risk management measures checked, submit documentation supporting their implementation together with the plan. This documentation can be any documentation that can be considered as ensuring the implementation of the risk management measures, given the reality of the business and other factors.

* For the underlined measures (**(1)-2, (2)-2, (3)-2, (4)-2, (5)-2, (8)-2, (9)-2, (10)-2, (14)-2, and (15)-2**), the supplier of the specified critical facility and the supplier of each component facility can provide such documentation directly to the competent minister, bypassing the specified essential infrastructure service provider.

* Examples of risk management measures and such documentation are provided in the technical guide (the Guide to the System for Ensuring Stable Provision of Specified Essential Infrastructure Services under the Economic Security Promotion Act).

List of Required Risk Management Measures (for entrusting critical maintenance and management, etc. [1])

- ✓ To effectively reduce the risk that the specified critical facility whose critical maintenance and management, etc. is to be contracted out will be misused as a means for specified disruptive actions, the specified essential infrastructure service provider should assess the risk and take measures proportionate to the extent and level of the risk (they are not required to take all the listed measures).
- ✓ To submit an introduction, etc. plan, **the checkboxes for the risk management measures that have already been taken must be checked**. Even if the service provider has not taken a listed measure, as long as it is considered to taken equivalent efforts to manage risk, it can check the checkbox for the measure by describing the efforts in the notes section.
* Since each ministry may require different risk management measures under its ministerial order, check the ministerial orders before submitting a plan.

Category of risk management measures	Risk management measures
<p>(1) Ensure, under the contract with the contractor (including each subcontractor) of the critical maintenance and management, etc., that the contractor manages the specified critical facility to prevent the contractor (including its employees) from making changes to the facility that are not intended by the specified essential infrastructure service provider and that the service provider can check its management status</p>	<p>(1) The specified essential infrastructure service provider* has confirmed that the contractor and each subcontractor have clarified procedures to keep and check operational logs, work histories, and other records of the specified critical facility and will periodically or as necessary use such records to check for any unauthorized changes to the facility. * For the implementation status of each subcontractor, including the case where the contractor has confirmed it</p>
	<p>(2) The specified essential infrastructure service provider periodically conducts asset management of the specified critical facility and its component facilities, including taking their inventory and checking whether the latest security patches have applied to them, and will also periodically conduct the same asset management of replacement facilities.</p>
	<p>(3) The specified essential infrastructure service provider* has confirmed that the contractor and each subcontractor will apply appropriate physical (e.g., access control using security cameras) and logical (e.g., control of access to data and systems) access control to their design specifications and information of the facility to prevent unauthorized access. * For the implementation status of each subcontractor, including the case where the contractor has confirmed it</p>
	<p>(4) The specified essential infrastructure service provider* has confirmed that the contractor and each subcontractor will apply appropriate physical (e.g., access control using security cameras) and logical (e.g., control of access to data and systems) access control to the working environment for the critical maintenance and management, etc. to prevent unauthorized access. * For the implementation status of each subcontractor, including the case where the contractor has confirmed it</p>
	<p>(5) The specified essential infrastructure service provider* has confirmed that the contractor and each subcontractor are committed to maintaining and improving the cybersecurity literacy of the workers and supervisor engaged in the critical maintenance and management, etc. by providing them with cybersecurity training periodically (at least once a year). * For the implementation status of each subcontractor, including the case where the contractor has confirmed it</p>
<p>(2) Ensure, under the contract with the contractor of the critical maintenance and management, etc., that when subcontracting the contract work, the contractor provides the specified essential infrastructure service provider with the necessary information to check the subcontractor's cybersecurity measures and that the contractor obtains prior approval from the service provider to subcontract the contract work</p>	<p>(6) The specified essential infrastructure service provider has confirmed that the contractor is required to obtain prior approval from the service provider for subcontracting the contract work and that each subcontractor is also required to obtain prior approval from the service provider for subcontracting the subcontract work.</p>
	<p>(7) The specified essential infrastructure service provider requires, under the contract with the contractor, that the contractor ensure that the subcontractor implements the same level of cybersecurity measures as the contractor to subcontract the contract work.</p>
<p>(3) Confirm that the contractor is unlikely to suspend or discontinue the critical maintenance and management, etc. in breach of the contract</p>	<p>(8) The specified essential infrastructure service provider* has confirmed the business stability of the contractor and each subcontractor based on their business plans (e.g., medium-term business plans), financial health, and service records. * For the business stability of each subcontractor, including the case where the contractor has confirmed it</p>

List of Required Risk Management Measures (for entrusting critical maintenance and management, etc. [2])

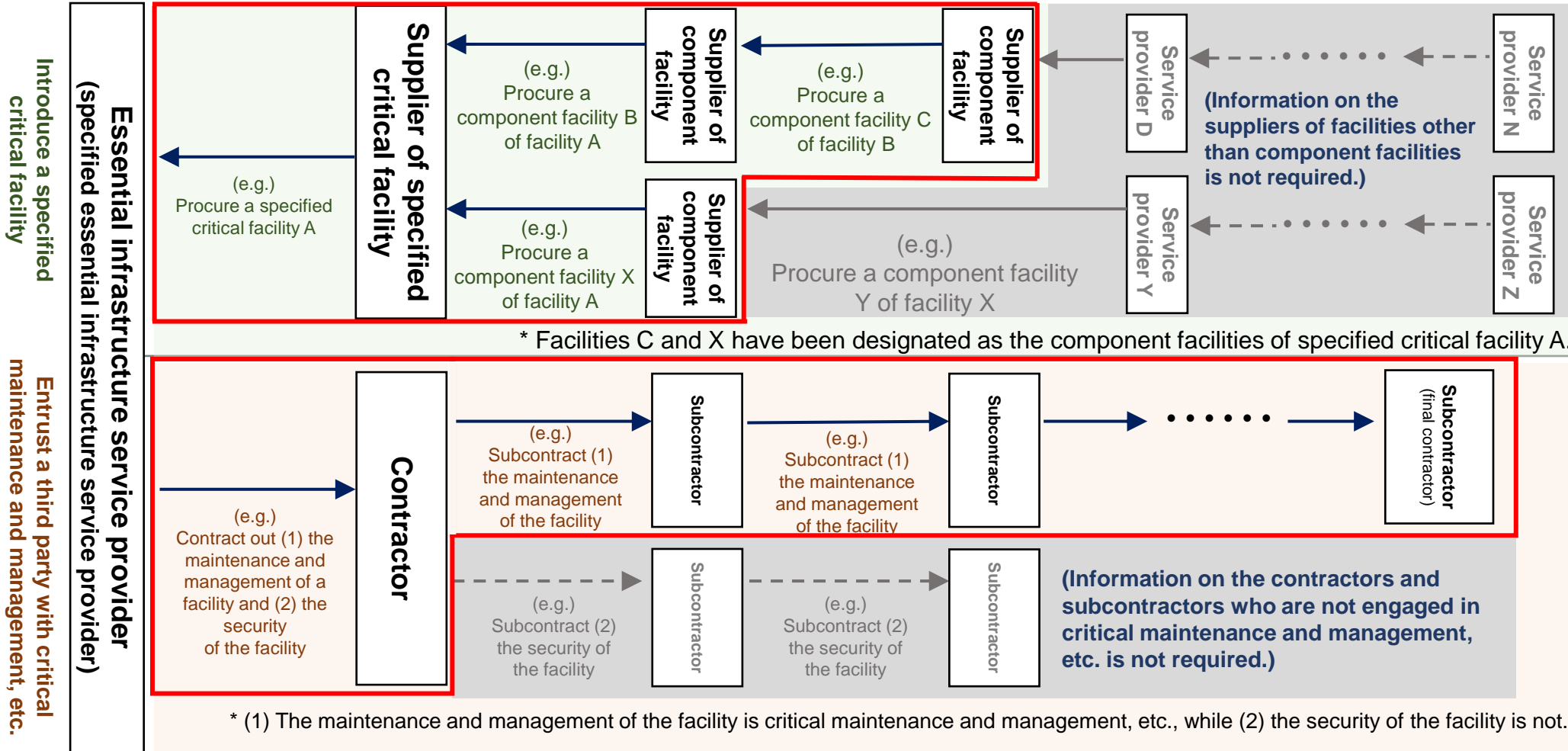
Category of risk management measures	Risk management measures
(4) Confirm the compliance of the contractor (including each subcontractor) with domestic laws and international standards, including its past compliance	<u>(9)-1</u> The specified essential infrastructure service provider has confirmed the compliance of the contractor with domestic laws and international standards (including each country's regulations based on the international standards), including its compliance for the three years before the date of notification.
	<u>(9)-2</u> The specified essential infrastructure service provider* has confirmed the compliance of each subcontractor with domestic laws and international standards (including each country's regulations based on the international standards), including its compliance for the three years before the date of notification. * Including the contractor
(5) Confirm that the appropriateness of the critical maintenance and management, etc. to be contracted out (including to be subcontracted) will not be affected by foreign legislation	<u>(10)-1</u> The specified essential infrastructure service provider ensures, under the contract with the contractor, that the contractor reports to the service provider any possible breaches of the contract that may result from the legislation of a foreign country or an external entity's instructions (including both explicit and tacit ones).
	<u>(10)-2</u> The specified essential infrastructure service provider* ensures, under the contract with each subcontractor, that the subcontractor reports to the service provider or the contractor any possible breaches of the contract that may result from the legislation of a foreign country or an external entity's instructions (including both explicit and tacit ones). * Including the contractor
	<u>(11)</u> The specified essential infrastructure service provider has confirmed that if video equipment, such as security cameras and drones, is installed or used at the place where the critical maintenance and management, etc. work is carried out, the appropriateness of handling the video footage obtained from the equipment will not be affected by the legislation governing the location of the head office of the equipment supplier (including the head office of a direct or indirect holder of a majority of the supplier's voting rights).
(6) Ensure, under the contract with the contractor (including each subcontractor), that the contractor provides its information that helps determine whether and to what extent it is under foreign influence and that it timely notifies any changes to such information after the conclusion of the contract	<u>(12)</u> The specified essential infrastructure service provider ensures, under the contract with the contractor and each subcontractor, that they provide their information, such as their name, location, officers, capital ties, business plans and records, the place where the critical maintenance and management, etc. will be performed, and the departments and qualifications (e.g., qualifications and training records related to information security) of their workers involved. The service provider also ensures, under the contract, that they timely notify any changes to such information after the conclusion of the contract.

- * For the required risk management measures checked, submit documentation supporting their implementation with the plan. This documentation can be any documentation that can be considered as ensuring the implementation of the risk management measures, given the reality of the service/business and other factors.
- * For the underlined measures ((1), (3), (4), (5), (8), (9)-2, and (10)-2), the contractor and each subcontractor of the critical maintenance and management, etc. can provide such documentation directly to the competent minister, bypassing the specified essential infrastructure service provider.
- * Examples of risk management measures and such documentation are provided in the technical guide (the Guide to the System for Ensuring Stable Provision of Specified Essential Infrastructure Services under the Economic Security Promotion Act).

Scope of Information to be Provided under the System for Ensuring Stable Provision of Specified Essential Infrastructure Services under the Economic Security Promotion Act

✓ The Act requires notification and screening for the “introduction of specified critical facilities” (before introduction), as well as notification and screening for the “entrustment of the critical maintenance and management, etc. of specified critical facilities to third parties.” For the former notification, **information on the suppliers of a specified critical facility and its component facilities must be provided**. For the latter, **information on all the contractors and subcontractors of critical maintenance and management, etc. must be provided** (for critical maintenance and management, etc., some information is not required if certain conditions are met).

Red frame: Scope of information to be provided to the competent minister



Notification
(Some required information may be provided by the suppliers, contractor, and subcontractors directly to the competent minister.)

Competent minister

The competent minister may directly require the suppliers, contractor, and subcontractors to provide necessary information.

Exemption from Providing Information on the Subcontractors of Critical Maintenance and Management, Etc.

- ✓ To entrust critical maintenance and management, etc. to a third party, each specified essential infrastructure service provider **must, as a general rule, include information on all the subcontractors in the introduction, etc. plan.**
- ✓ However, **if the service provider satisfies all the exemption requirements specified in the Ministerial Order**, the service provider is **not required to provide some information on the subcontract that meets the requirements and documents related to the officers of the subcontractor** by **stating the satisfaction** in and **attaching documentation supporting the satisfaction** to the introduction, etc. plan.

Requirement for exemption

A specified essential infrastructure service provider who **satisfies all the requirements** is **not required to provide information on the subcontract that satisfies the requirements other than the subcontractor's name, address, and country with jurisdiction over incorporation** by attaching documentation supporting the satisfaction to the introduction, etc. plan. The service provider is also **not required to submit any documentation other than a certification of registered information.**

Requirement (1): The service provider has taken action to identify the scope and date or duration of the subcontract work.

Requirement (2): The service provider or the contractor subcontracting the contract work has taken action to confirm that the subcontractor has taken the following measures:

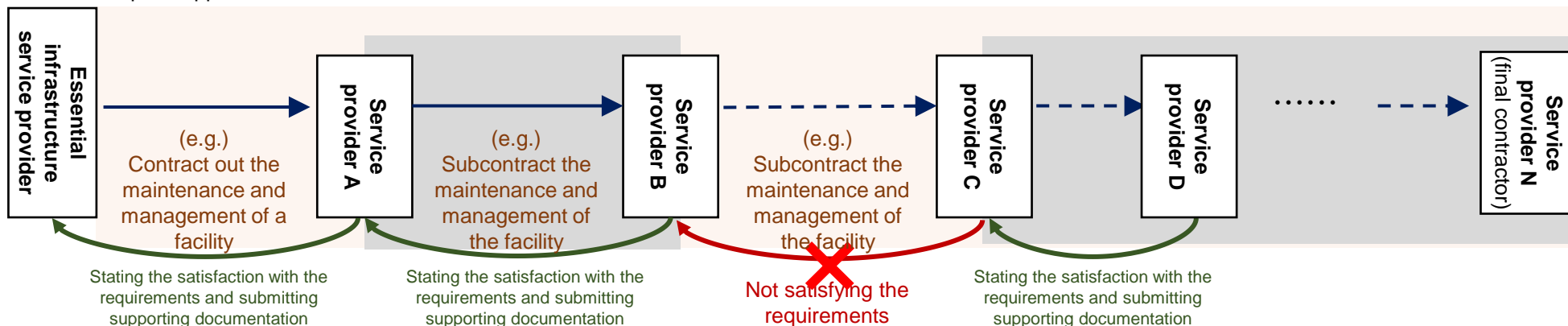
Subcontractor's measure (1)

Take measures to **prevent authorized access** to the place of the subcontract work, such as controlling access to the place

Subcontractor's measure (2)

Periodically or as necessary audit the specified critical facility whose critical maintenance and management, etc. is to be subcontracted **for any unauthorized operation or activities** by establishing and requiring compliance with procedures to maintain and check the records of work performed by its workers

<How the exemption applies>



⇒ The essential infrastructure service provider must provide all the required information on the contract with service provider A and the subcontract between service providers B and C. For the subcontract between service providers A and B, the subcontract between service providers C and D, and all other subsequent subcontracts, the essential infrastructure service provider is not required to provide information on the subcontracts other than the names, etc. of subcontractors B and D to N.

Points to Consider in the Screening Process

Guiding Principles on Ensuring Stable Provision of Specified Essential Infrastructure Services by Preventing Specified Disruptive Actions (approved by the Cabinet on April 28, 2023)

Chapter 4. Basic matters regarding recommendations and orders to specified essential infrastructure service providers

(4) Points to consider in the screening process

Article 52, paragraph (4) of the Act requires each competent minister to screen the specified critical facility included in a submitted introduction, etc. plan to see whether it has a high risk of being misused as a means for specified disruptive actions. Detailed screening criteria can benefit entities attempting specified disruptive actions. Conversely, unclear screening criteria can discourage specified essential infrastructure service providers from proceeding with their planned introduction of a specified critical facility or entrustment of critical maintenance and management, etc. for fear of unpredictable disadvantages from the regulation. For this reason, the screening criteria need to be as clear as possible to ensure predictability for specified essential infrastructure service providers.

The screening process must take the following points into account:

- (1) Whether the suppliers of the specified critical facility or its component facilities, or the contractor and subcontractors of the critical maintenance and management, etc. of the specified critical facility, are under a strong foreign influence;
- (2) Whether the service provider has assessed the risk that the specified critical facility could be misused to disrupt the stable provision of specified essential infrastructure services and taken necessary risk management measures based on the assessment;
- (3) Whether the suppliers have been found to have supplied vulnerable products related to the specified critical facility or its component facilities, whether the contractor and subcontractors have been found to have performed inappropriate critical maintenance and management, etc., and whether the suppliers or the contractor and subcontractors have been found not to comply with domestic laws or international standards; and
- (4) In addition to points (1) to (3), information on the introduction or entrustment or the suppliers or contractor and subcontractors that relates to the risk that the specified critical facility may be misused as a means for specified disruptive actions.^(*6)
(*6) e.g., information on past involvement in disruptive actions against Japan or our allied or like-minded countries

Considering these points, the competent minister may issue a recommendation or order to the service provider when concluding that the specified critical facility is at a high risk of being misused as a means for specified disruptive actions.

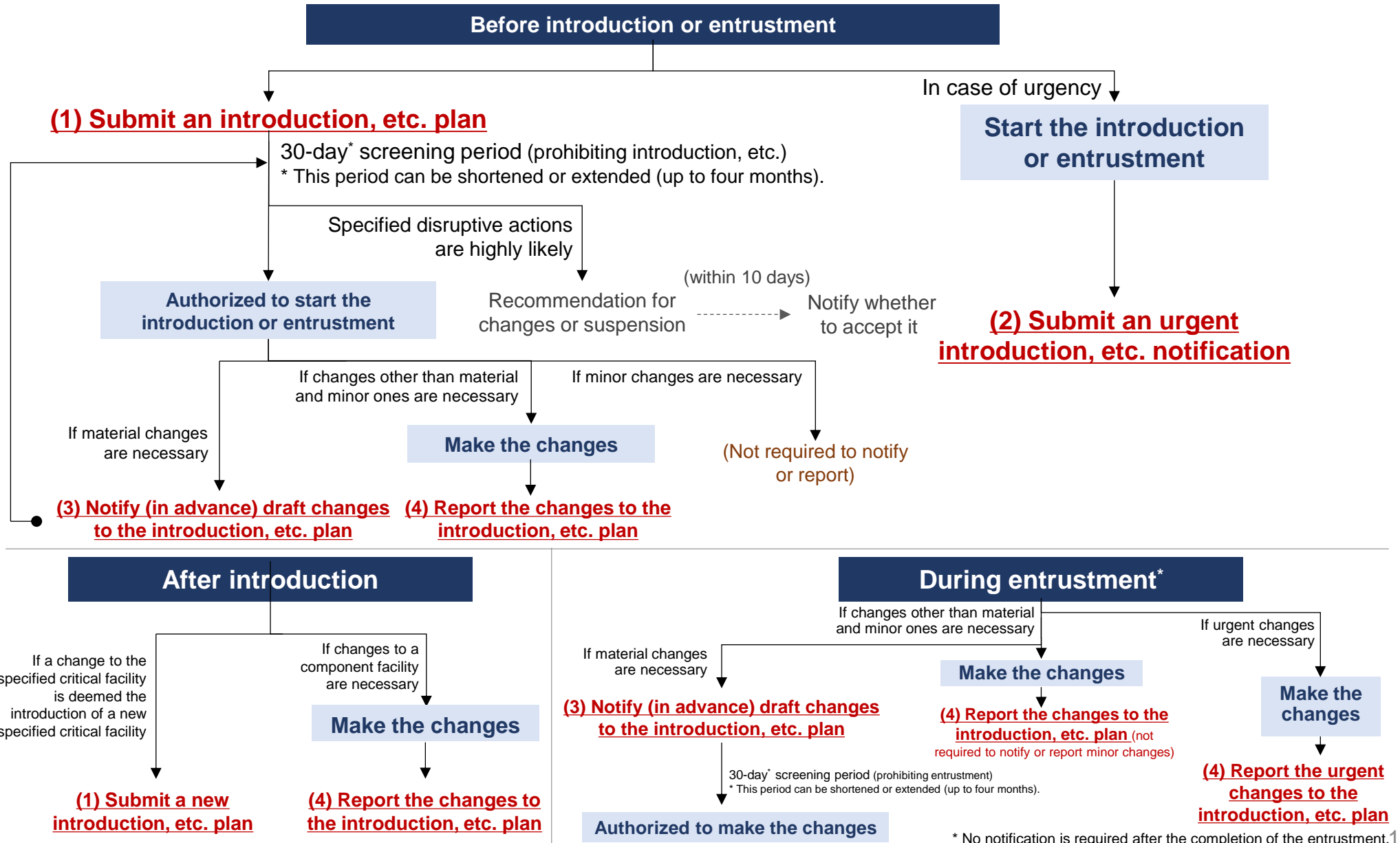
Cyber activities in our cyberspace reported in recent years, especially those with the suspected involvement of a foreign state^(*7), which reflect our harsh security environment, have disrupted the stable provision of infrastructure services fundamental to the daily lives of Japanese people and our economic activities and caused significant social dislocations. Consequently, focusing on disruptive actions from outside Japan, the screening process must involve carefully screening the introduction, etc. of facilities from business operators strongly influenced by foreign entities. The process must also take into account the reality that we are facing the most challenging and complex security environment since World War II, as described in the National Security Strategy (approved by the National Security Council and the Cabinet on December 16, 2022) and other government strategies.

(*7) The Cyber Security Strategy shares the same understanding.

Careful screening is particularly necessary for the introduction, etc. of specified critical facilities from the countries subject to our economic sanctions and business operators strongly influenced by such countries.

Notifications Required by the System for Ensuring Stable Provision of Specified Essential Infrastructure Services under the Economic Security Promotion Act

✓ To introduce a specified critical facility or the entrustment of critical maintenance and management, etc., to third parties, the Act requires **(1) submission of an introduction, etc. plan**, **(2) submission of an urgent introduction, etc. notification**, **(3) notification of (in advance) draft changes to the introduction, etc. plan**, and **(4) reporting of (urgent) changes to the introduction, etc. plan**.



Changes to the Introduction, Etc. Plan for the Introduction of a Specified Critical Facility

Relevant articles of the Act	Information to be provided	Category of change
General information of the specified critical facility (Art. 52, para. (2), item (i))	The type, name, functions, place of installation, and place of use of the facility	Material change
Details of the introduction (Art. 52, para. (2), item (ii)(a))	The purpose of the introduction of the facility and the names, etc. of the parties involved in the introduction	Material change
Date of the introduction (Art. 52, para. (2), item (ii)(a))	The date of the introduction (when the facility will be available for the provision of infrastructure services)	Change to be reported after the fact
Information on the supplier of the specified critical facility (Art. 52, para. (2), item (ii)(b)) <div style="border: 1px solid black; background-color: #e0f0ff; padding: 5px; width: fit-content;"> <p>* To add a new supplier of the specified critical facility, submit a plan including all the required information.</p> </div>	The supplier's name and its representative's name (if the supplier is an individual, only their name)	<ul style="list-style-type: none"> The supplier's name: Material change The representative's name: Change to be reported after the fact
	The supplier's address	<ul style="list-style-type: none"> Change of the country: Material change Other changes: Minor change
	The supplier's country with jurisdiction over incorporation (if the supplier is an individual, their nationality)	Material change
	The names, <u>countries with jurisdiction over incorporation or nationalities</u> and proportions of voting rights held of the direct holders of 5% or more of the supplier's voting rights * If there is a new director holder of 5% or more of the voting rights, that person must be reported after the fact as a change to the names of the voting rights holders.	<ul style="list-style-type: none"> Information other than the proportion of voting rights held: Change to be reported after the fact Proportion of voting rights held <ul style="list-style-type: none"> ➤ New direct holder (1) to (3) below: Change to be reported after the fact Other new direct holder: Minor change (1) Direct holder of 25% or more but less than one-third of the voting rights (2) Direct holder of one-third or more but less than 50% of the voting rights (3) Direct holder of 50% or more of the voting rights
	The names, <u>dates of birth, and nationalities</u> of the supplier's officers	Change to be reported after the fact
	If the supplier's annual sales from transactions with a foreign government, etc. accounted for 25% or more of its total annual sales in the last three years: the relevant business years, <u>the name of the foreign country, and the percentage of the sales from the foreign government, etc.</u>	Change to be reported after the fact
	Location of the plant or place of business that will manufacture the facility	<ul style="list-style-type: none"> Change of the country: Material change Other changes: Minor change
Information on each component facility (Art. 52, para. (2), item (ii)(c))	(The same information as the information on the supplier of the specified critical facility)	Same as at left
Other information (Art. 52, para. (2), item (iv))	The <u>implementation status of the required risk management measures</u>	Material change

* The underlined information can be provided directly to the competent minister, bypassing the specified essential infrastructure service provider. For the required risk management measures, however, the implementation status of only some of the measures can be provided directly.

Changes to the Introduction, Etc. Plan for the Entrustment of Critical Maintenance and Management, Etc.

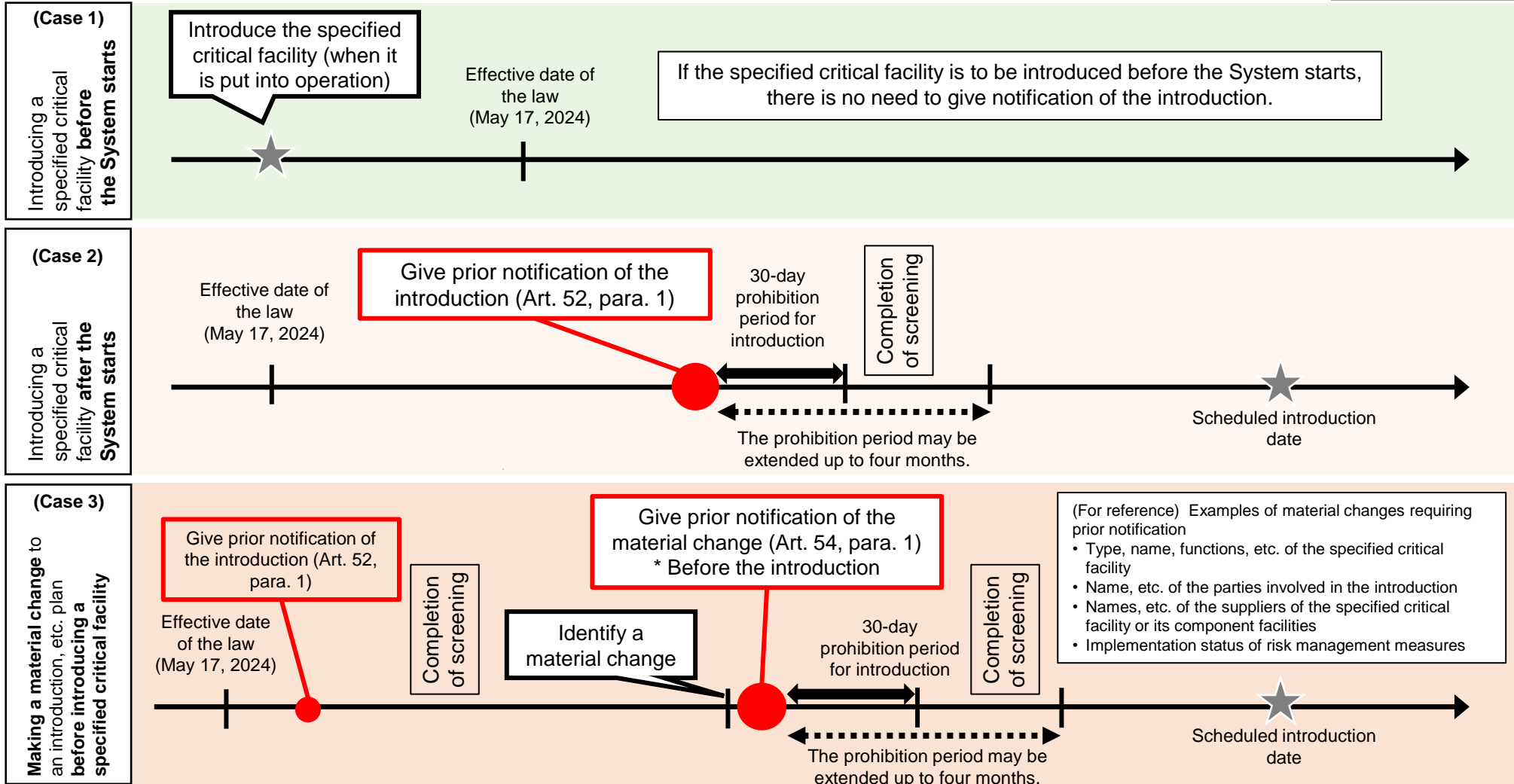
Relevant articles of the Act	Information to be provided	Category of change
General information of the specified critical facility (Art. 52, para. (2), item (i))	The type, name, functions, place of installation, and place of use of facility	Material change
Details of the contract work (Art. 52, para. (2), item (iii)(a))	The purpose, scope, and place of the contract work	Material change
Date or duration of the contract work (Art. 52, para. (2), item (iii)(a))	Date or duration of the contract work	<ul style="list-style-type: none"> Extension of the period: Material change Other changes: Change to be reported after the fact
Information on the contractor of the contract work (Art. 52, para. (2), item (iii)(b)) <div style="background-color: #e0f0ff; padding: 5px; margin-top: 10px;"> <p>* To add a new contractor of the critical maintenance and management, etc., submit a plan including all the required information.</p> </div>	The contractor's name and its representative's name (if the contractor is an individual, only their name)	<ul style="list-style-type: none"> The contractor's name: Material change The representative's name: Change to be reported after the fact
	The contractor's address	<ul style="list-style-type: none"> Change of the country: Material change Other changes: Minor change
	The contractor's country with jurisdiction over incorporation (if the contractor is an individual, their nationality)	Material change
	The names, countries with jurisdiction over incorporation or nationalities, and proportions of voting rights held of the direct holders of 5% or more of the contractor's voting rights * If there is a new director holder of 5% or more of the voting rights, that person must be reported after the fact as a change to the names of the voting rights holders.	<ul style="list-style-type: none"> Information other than the proportion of voting rights held: Change to be reported after the fact Proportion of voting rights held <ul style="list-style-type: none"> ➤ New direct holder (1) to (3) below: Change to be reported after the fact Other new direct holder: Minor change (1) Direct holder of 25% or more but less than one-third of the voting rights (2) Direct holder of one-third or more but less than 50% of the voting rights (3) Direct holder of 50% or more of the voting rights
	The names, dates of birth, and nationalities of the contractor's officers	Change to be reported after the fact
	If the contractor's annual sales from transactions with a foreign government, etc. accounted for 25% or more of its total annual sales in the last three years: the relevant business years, the name of the foreign country, and the percentage of the sales from the foreign government, etc.	Change to be reported after the fact
Information on the subcontract work (Art. 52, para. (2), item (iii)(c))	(The same information as the information on the contractor of the critical maintenance and management, etc.)	Same as at left
Other information (Art. 52, para. (2), item (iv))	<u>The implementation status of the required risk management measures</u>	Material change

* The underlined information can be provided directly to the competent minister, bypassing the specified essential infrastructure service provider. For the required risk management measures, however, the implementation status of only some of the measures can be provided directly.

Flowchart of the Process from Submission of an Introduction, etc. Plan to Actual Introduction (1)

○ Business operators designated as specified essential infrastructure service providers on November 16, 2023, must notify the competent minister to introduce a specified critical facility (including upgrading a system) on or after May 17, 2024. Shown below is the flowchart of the process from notification of the introduction of a specified critical facility to its actual introduction. In accordance with this flowchart, each specified essential infrastructure service provider must notify the competent ministry well in advance of the scheduled introduction date.

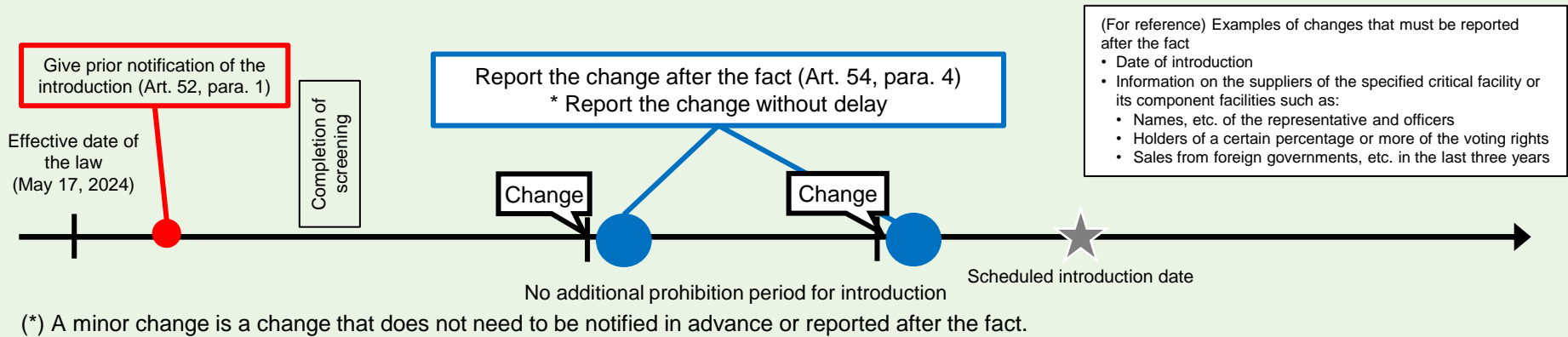
● Prior notification



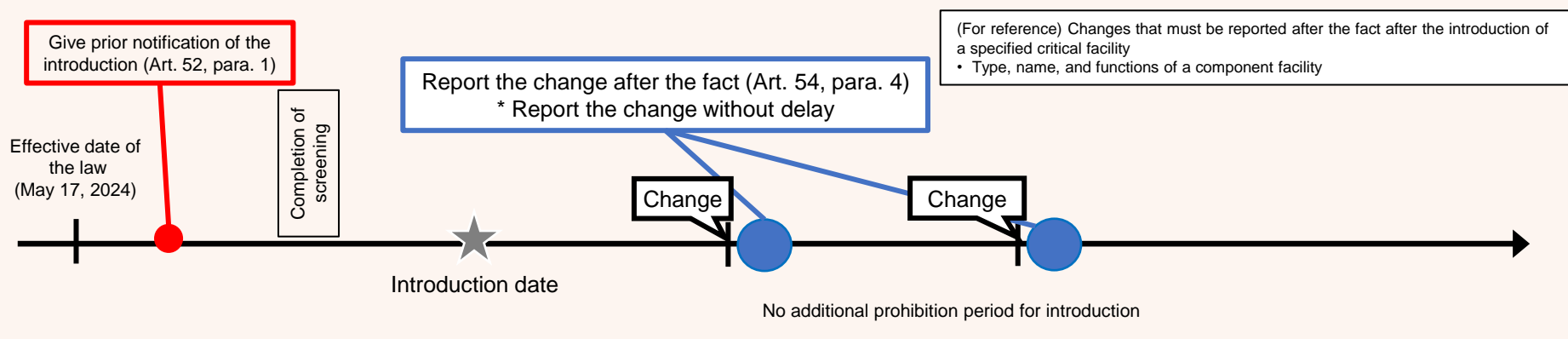
Flowchart of the Process from Submission of an Introduction, etc. Plan to Actual Introduction (2)

● Prior notification
● Report after the fact

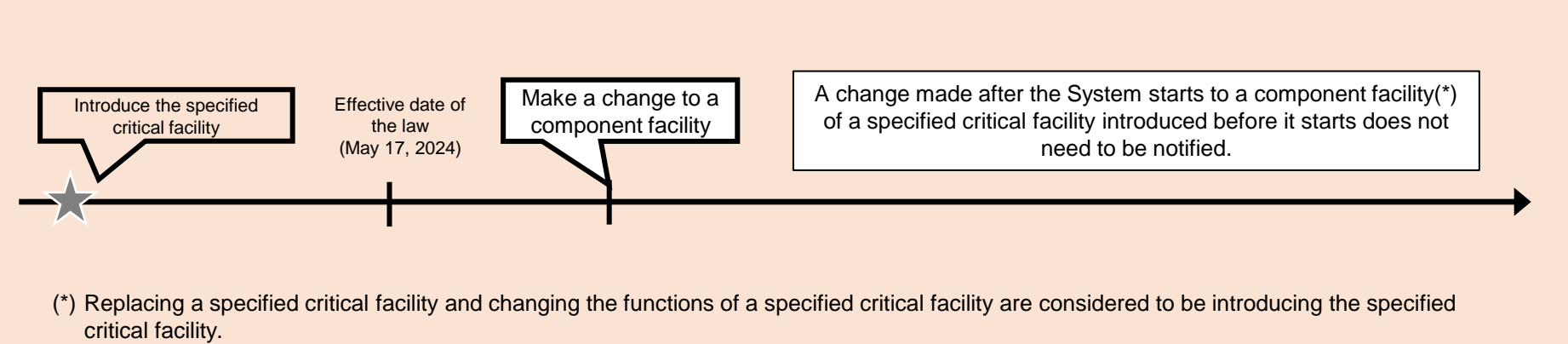
(Case 4)
Making a change (excluding material and minor ones^(*)) to an introduction, etc. plan before introducing a specified critical facility



(Case 5)
Making a change to a component facility after introducing a specified critical facility



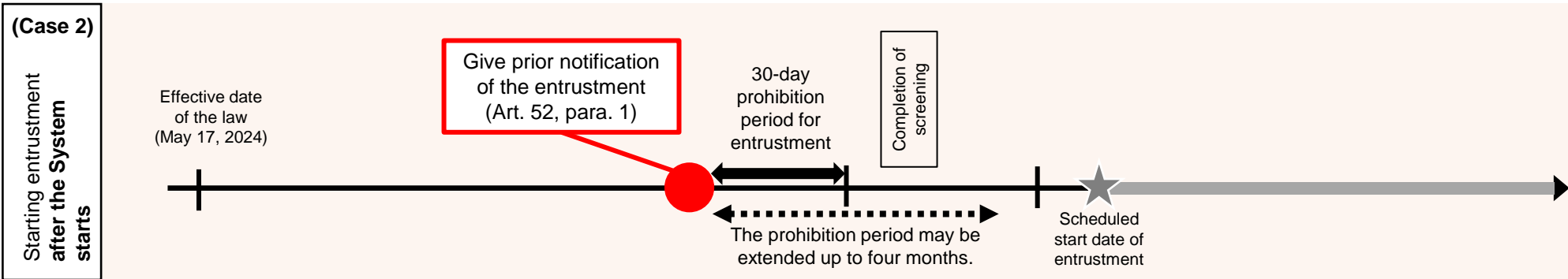
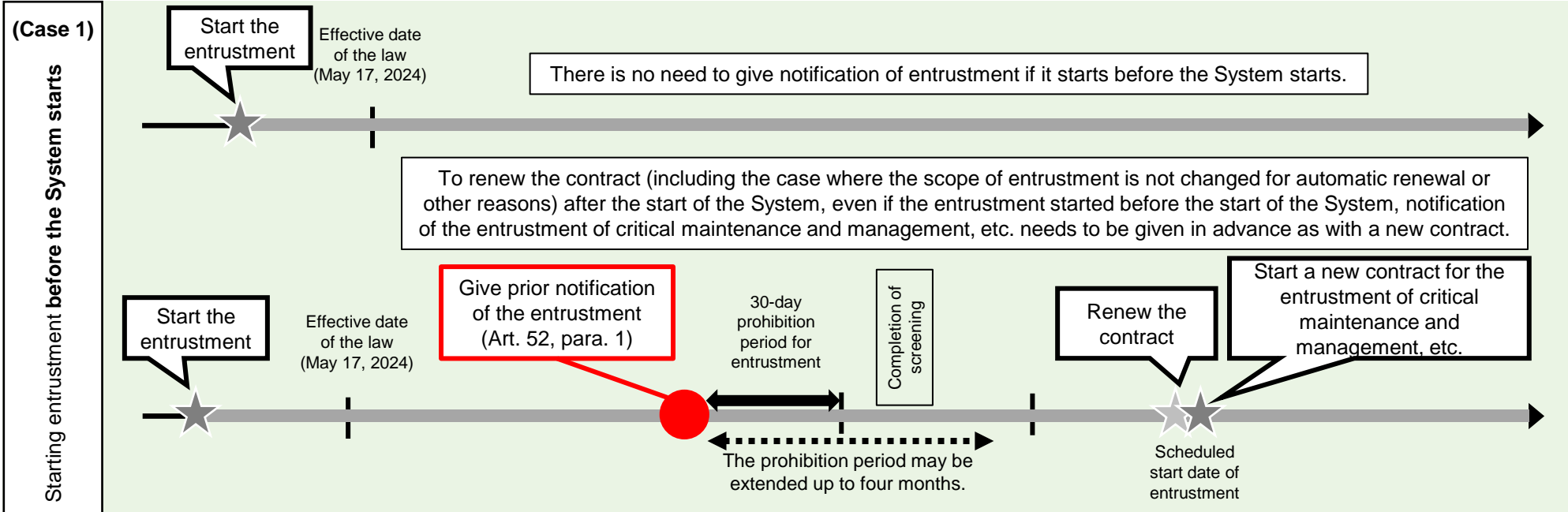
(Case 6)
Making a change to a component facility of a specified critical facility introduced before the System starts



Flowchart of the Process from Submission of an Introduction, etc. Plan to Entrustment of Critical Maintenance and Management, etc. (1)

○ Business operators designated as specified essential infrastructure service providers on November 16, 2023, must notify the competent minister to contract out critical maintenance and management, etc. on or after May 17, 2024. Shown below is the flowchart of the process from notification of the entrustment of critical maintenance and management, etc. to the start and completion of the entrustment. In accordance with this flowchart, each specified essential infrastructure service provider must notify the competent ministry well in advance of the scheduled start date of entrustment.

● Prior notification

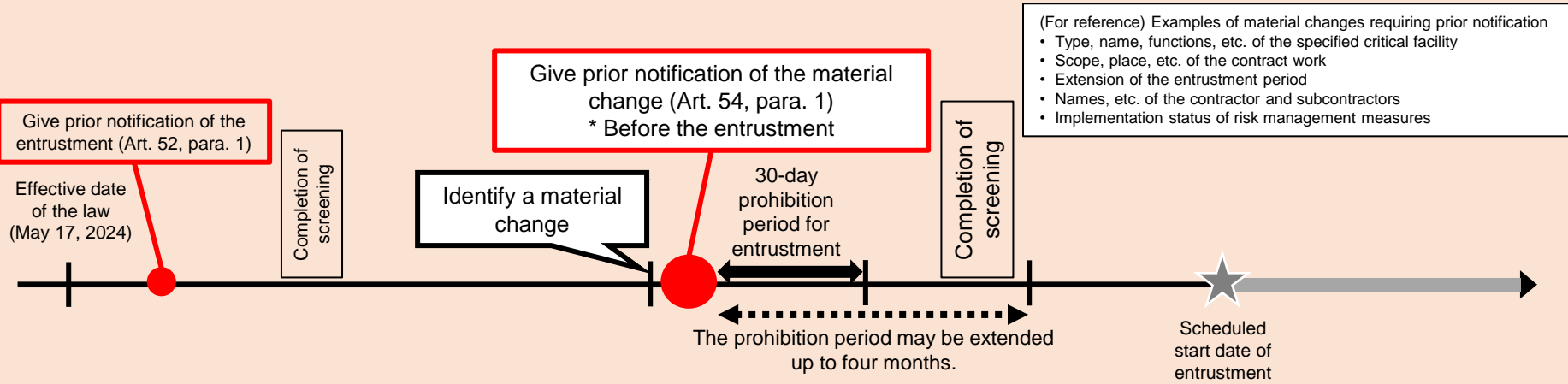


Flowchart of the Process from Submission of an Introduction, etc. Plan to Entrustment of Critical Maintenance and Management, etc. (2)

● Prior notification

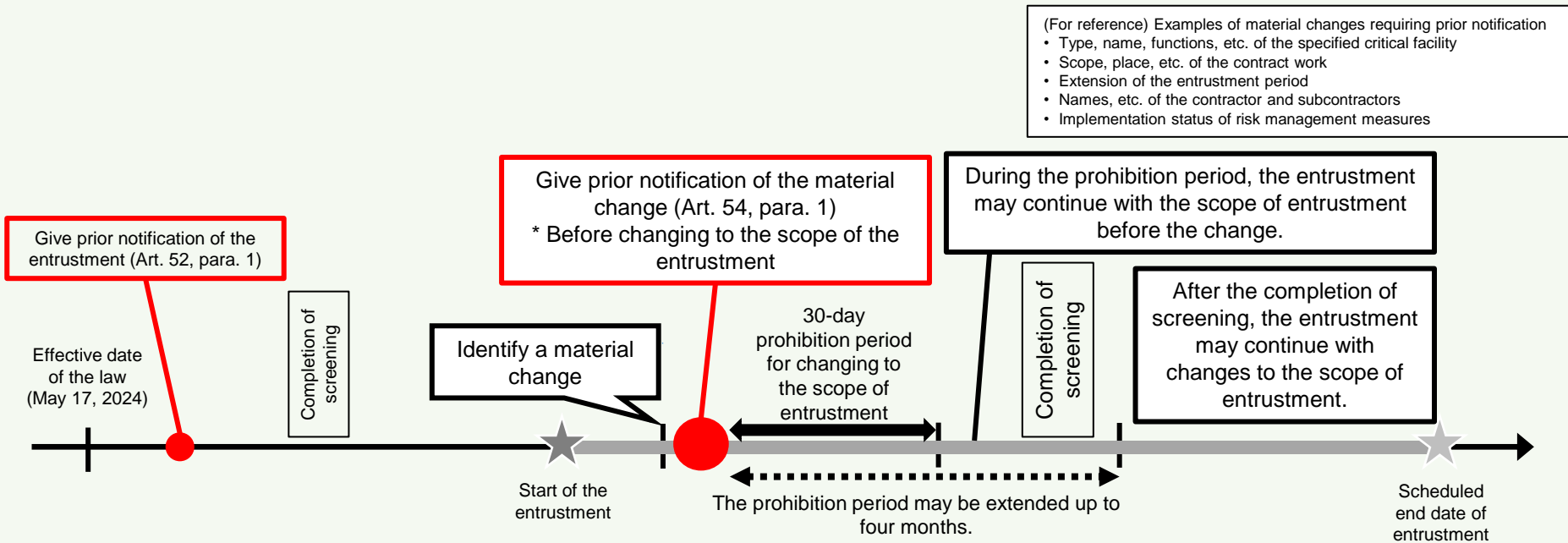
(Case 3)

Making a material change to an introduction, etc. plan before starting entrustment



(Case 4)

Making a material change to an introduction, etc. plan during the period of entrustment



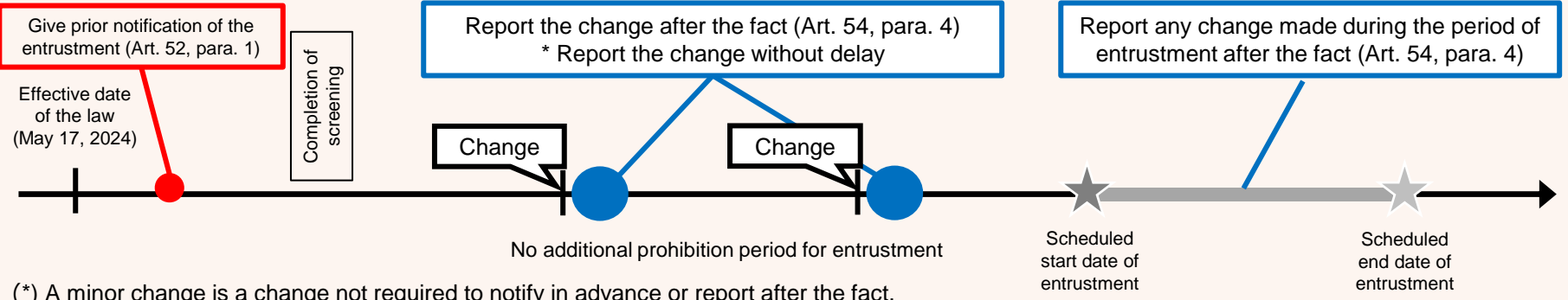
Flowchart of the Process from Submission of an Introduction, etc. Plan to Entrustment of Critical Maintenance and Management, etc. (3)

● Prior notification
● Report after the fact

(Case 5)
Making a change (excluding material and minor ones^(*)) to an introduction, etc. plan before starting entrustment or during the period of entrustment

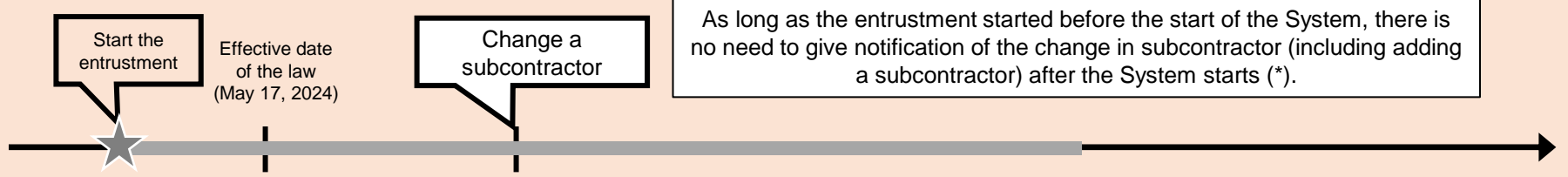
(For reference) Examples of changes required to be reported after the fact

- Shortening of the entrustment period
- Information on the contractors and subcontractors such as:
 - Names, etc. of the representative and officers
 - Holders of a certain percentage or more of the voting rights
 - Sales from foreign governments, etc. in the last three years



(*) A minor change is a change not required to notify in advance or report after the fact.

(Case 6)
Starting entrustment before the System starts and change a subcontractor after its start



As long as the entrustment started before the start of the System, there is no need to give notification of the change in subcontractor (including adding a subcontractor) after the System starts (*).

(*) There is no need to give notification of the change if it is within the scope of the contract for the ongoing entrustment that started before the System starts. On the other hand, notification of the change must be given if it is outside the scope of the contract and constitutes a new contract for critical maintenance and management, etc.

- ✓ **If** introducing a specified critical facility or entrusting the critical maintenance and management, etc. of a specified critical facility to a third party **is urgent**, each specified essential infrastructure service provider **may do so without submitting an introduction, etc. plan in advance**. In this case, the service provider **must submit an introduction, etc. notification without delay** after the introduction, etc.
- ✓ Cases of urgency are defined in each Ministerial Order.

Definition of cases of urgency

[Article of each Ministerial Order] The cases specified in the order of the competent ministry under the proviso of Article 52, paragraph (1) of the Act are cases where a specified essential infrastructure service provider **has or is likely to have difficulty in providing the specified essential infrastructure service (unless the service provider has caused the risk of such difficulty in an attempt to evade the application of the main clause of the paragraph)**, has to urgently introduce a specified critical facility or entrust the critical maintenance and management, etc. of a specified critical facility to a third party **in order to remove or prevent such difficulty**, and **has no appropriate alternatives**.

[This article says that if a specified essential infrastructure service provider meets all the following conditions (1) to (4), it may introduce, etc. a specified critical facility without submitting an introduction, etc. plan in advance.]

(1) The service provider has or is likely to have difficulty in providing the specified essential infrastructure service

⇒ The introduction, etc. of a specified critical facility through the normal procedure may undermine the purpose of the Act (the stable provision of infrastructure services) (Urgent).

(2) Unless the service provider has caused the risk of such difficulty in an attempt to evade the application of the main clause of the paragraph

⇒ The service provider has not intentionally caused the risk of such difficulty in an attempt to evade giving prior notification (Unintentional).

(3) The service provider has to urgently introduce a specified critical facility or entrust the critical maintenance and management, etc. of a specified critical facility to a third party in order to remove or prevent such difficulty

⇒ The service provider's introduction, etc. is necessary to remove or prevent such difficulty (Necessary).

(4) The service provider has no appropriate alternatives

⇒ The service provider has no appropriate alternatives to the introduction, etc. (No alternatives).

[Information required in an urgent introduction, etc. notification: the information (1) to (4) below must be provided in addition to the information required in an introduction, etc. plan]

(1) Urgent	Required information: (1) the details of the difficulty in providing the service; (2) the date of occurrence and duration of the difficulty; (3) the impact of the difficulty on the provision of the service; (4) by when the urgent introduction, etc. had to take place; and (5) why an introduction, etc. plan could not be submitted in advance
(2) Unintentional	Required information: (1) the causes of the difficulty; (2) when the difficulty was identified; and (3) why the difficulty was unavoidable
(3) Necessary	Required information: (1) the relationship between the difficulty and the specified critical facility and the details of the problems with the specified critical facility, and (2) the relationship between the difficulty and the urgent introduction, etc.
(4) No alternatives	Required information: (1) what alternatives were considered, and (2) why the alternatives could not be taken

Typical Cases

Case 1: Notification close to the scheduled introduction date

Case

No prior consultation with the competent ministry

Give prior notification of the introduction of a specified critical facility (Art. 52, para. 1)

August 20, 20XX

Notification sent back due to formal deficiencies or other problems

Scheduled introduction date (when the facility is put into operation)

September 1, 20XX

The competent ministry checks the format and the information provided.

Resubmit the introduction, etc. plan

30-day screening period (prohibiting introduction), which may be shortened or extended (up to four months)

The scheduled introduction date cannot be met due to the review of the resubmitted documents.

Good practice

Prior consultation with the competent ministry

Have meetings about prior notification

Give prior notification of the introduction of the specified critical facility (Art. 52, para. 1)

July 10, 20XX

Scheduled introduction date (when the facility is put into operation)

September 1, 20XX

Completion of screening

30-day screening period (prohibiting introduction), which may be shortened or extended (up to four months)

The facility can be introduced as scheduled.

Have a prior consultation with the competent ministry about prior notification of the introduction of a specified critical facility

Check by the competent ministry (1)

Period for correction and checking by the service provider

Check by the competent ministry (2)

Period for correction and checking by the service provider

Submit an introduction, etc. plan as early as possible because the screening period extends for up to 30 days, as a general rule, after its acceptance and may be extended up to four months.
Service providers are encouraged to consult with the competent ministry in advance.

Case 2: Introduction, etc. plan submitted before the arrival of documents that can be directly provided to the competent ministry

Case

Specified essential infrastructure service provider

The introduction is scheduled for September 20, 20XX.
Necessary documents will be directly provided by the supplier on August 13, 20XX.

Report that documents concerning the introduction, etc. plan will be directly provided to the competent minister

Introduction, etc. plan

Competent ministry

August 13, 20XX

The necessary documents have not been submitted by the scheduled date of submission!
We can't accept the notification or start screening unless the necessary documents are available from the supplier.

Specified essential infrastructure service provider

September 18, 20XX

We don't have much time before the scheduled introduction...



Good practice

Specified essential infrastructure service provider

Specified essential infrastructure service provider

Specified essential infrastructure service provider

Competent ministry

Specified essential infrastructure service provider

Competent ministry
Prior consultation

We understand that the necessary documents will be directly provided by the supplier.

We've confirmed that the necessary documents have been provided by the supplier to the competent ministry.

The introduction is scheduled for September 20, 20XX.
The necessary documents have already been directly provided by the supplier.

We can proceed with screening as scheduled.

June 18, 20XX



Report that documents concerning the introduction, etc. plan will be directly provided to the competent minister

Introduction, etc. plan

Screening period

We can meet the scheduled introduction date.

If the supplier provides the necessary documents directly to the competent minister, specify the deadline for the provision to the supplier and confirm with the supplier that all the necessary documents have been provided before submitting the introduction, etc. plan.

Case 3: Failure to prepare notification of a material change to critical maintenance and management, etc., which is necessary to introduce a specified critical facility

Case

The service provider consulted in advance with the competent ministry about the introduction of a new specified critical facility (scheduled for **December 1, 20XX**) to update the system under the critical maintenance and management, etc.

The service provider was told by the competent ministry that it **needed to give notification of a material change (see the point) to the critical maintenance and management, etc.**

The service provider **needed to prepare** notification of a material change to the critical maintenance and management, etc.

A service provider already submitted notification of entrustment concerning an existing system (planning to start the entrustment for one year from **October 1, 20XX**).



(Point)
If there is any change to a specified critical facility under critical maintenance and management, etc., it may be necessary to **give notification of a change, such as a change to the name of the facility.**



Good practice

The service provider consulted in advance with the competent ministry **about a material change to the critical maintenance and management, etc.** as well as the introduction of a new specified critical facility.

The competent ministry checked the notification of a material change. The service provider made necessary corrections to it.

The service provider gave the notification well ahead of schedule.



Introducing a specified critical facility may require giving notification of a material change to critical maintenance and management, etc. For this reason, carefully check whether such a change is necessary and, if necessary, consult with the competent ministry.

Case 4: Notification prepared only for component facilities to be replaced in introducing a specified critical facility

Case

A service provider planning to **change only some component facilities** of a specified critical facility **gathered information only on the component facilities** and prepared notification only for them.



The service provider consulted in advance with the competent ministry about prior notification of the introduction of the specified critical facility.



The service provider was told by the competent ministry that it **needed to provide information on all the component facilities** of the specified critical facility.



The service provider **needed to gather information on the suppliers of the other component facilities in a short time and prepare notification for such facilities.**



Good practice

The service provider **gathered information on all the component facilities** of the specified critical facility and prepared notification for them.



The service provider consulted in advance with the competent ministry about prior notification of the introduction of the specified critical facility.



The competent ministry checked the notification for the component facilities. The service provider made necessary corrections to it.



The service provider gave the notification well ahead of schedule.



If a change to some component facilities of a specified critical facility constitutes a change to its functions, it is necessary to provide information on **all the component facilities** in the notification.

Typical Mistakes

[Notes]

- On the following pages, the “Submitted Documents” is provided in the original Japanese version.

Typical Mistakes in Submitted Documents

- ✓ As specified in “Instructions” below “1. General information about the specified critical facility,” **provide the information that can identify the specified critical facility to be introduced (such as the product name and model number) in the “Name” section.**
- ✓ Such information is sometimes missing from the “Name” section.

Example

経済施策を一体的に講ずることによる安全保障の確保の推進に関する法律第52条第1項の規定により、特定重要設備の導入を行うので、次のとおり届け出ます。

1. 特定重要設備の概要

特定重要設備の種類	
特定重要設備の名称	
特定重要設備の機能	
特定重要設備を設置する場所	
特定重要設備を使用する場所	

(記載上の注意)

1. 「特定重要設備の種類」の欄には、第1条において定める特定重要設備の機能のうち、該当するものを記載すること。
2. 「特定重要設備の名称」の欄には、同一の種類の特重要設備から導入を行う特定重要設備を特定する事項（品名、型番号等）を記載すること。
3. 「特定重要設備の機能」の欄には、特定社会基盤役務を安定的に提供するため特定重要設備が有する固有の役割を果たす作用を記載すること。
4. 「特定重要設備を設置する場所」及び「特定重要設備を使用する場所」の欄には、それぞれの場所の所在する少なくとも都道府県名までを記載することとし、国外に所在する場合は、これらに相当するものを記載すること。

Make sure that all the instructions have been followed before submission.

様式第六（一）（第10条第1項及び第22条関係）
導入等計画書（特定重要設備の導入を行う場合）

_____年____月____日

_____ 殿

住所 _____
名称 _____
代表者の氏名 _____

経済施策を一体的に講ずることによる安全保障の確保の推進に関する法律第52条第1項の規定により、特定重要設備の導入を行うので、次のとおり届け出ます。

1. 特定重要設備の概要

特定重要設備の種類	
特定重要設備の名称	
特定重要設備の機能	
特定重要設備を設置する場所	
特定重要設備を使用する場所	

(記載上の注意)

1. 「特定重要設備の種類」の欄には、第1条において定める特定重要設備の機能のうち、該当するものを記載すること。
2. 「特定重要設備の名称」の欄には、同一の種類の特重要設備から導入を行う特定重要設備を特定する事項（品名、型番号等）を記載すること。
3. 「特定重要設備の機能」の欄には、特定社会基盤役務を安定的に提供するため特定重要設備が有する固有の役割を果たす作用を記載すること。
4. 「特定重要設備を設置する場所」及び「特定重要設備を使用する場所」の欄には、それぞれの場所の所在する少なくとも都道府県名までを記載することとし、国外に所在する場合は、これらに相当するものを記載すること。

Typical Mistakes in Submitted Documents

- ✓ In “2. Details and date of the introduction of the specified critical facility,” “the parties involved in the introduction” means “those involved in the introduction of the facility from the supplier.” For this reason, **even if the supplier plays a role as a party involved in the introduction, the supplier is not considered such a party.**
- ✓ The supplier of the facility is sometimes mistakenly included in the parties involved in the introduction.

Example

(記載上の注意)

1. 「導入に携わる者に関する事項」の欄には、特定重要設備の供給者から、当該特定重要設備を特定社会基盤事業者が導入するまでに經由する者のうち、次の(1)又は(2)に該当する者に関する情報を記載すること。

(1) 特定社会基盤事業者と特定重要設備の供給者との間に介在し、特定重要設備の供給網の管理その他の特定重要設備の導入に当たって重要な役割を有する者

(2) 特定重要設備についてサイバーセキュリティに関する対策の実施状況の確認等の妨害行為の防止に関する実施状況の確認を実施する者であって、当該特定重要設備の機能に変更を及ぼし得る者

The supplier of the facility is not considered a party involved in the introduction.

2. 特定重要設備の導入の内容及び時期			
導入の目的			
内容	導入に携わる者に関する事項①	名称及び代表者の氏名	
		住所	
		設立準拠法	
		導入との関係	
時期	導入に携わる者に関する事項②	名称及び代表者の氏名	
		住所	
		設立準拠法	
		導入との関係	

(記載上の注意)

1. 「導入に携わる者に関する事項」の欄には、特定重要設備の供給者から、当該特定重要設備を特定社会基盤事業者が導入するまでに經由する者のうち、次の(1)又は(2)に該当する者に関する情報を記載すること。

(1) 特定社会基盤事業者と特定重要設備の供給者との間に介在し、特定重要設備の供給網の管理その他の特定重要設備の導入に当たって重要な役割を有する者

(2) 特定重要設備についてサイバーセキュリティに関する対策の実施状況の確認等の妨害行為の防止に関する実施状況の確認を実施する者であって、当該特定重要設備の機能に変更を及ぼし得る者

2. 個人である場合にあつては、「名称及び代表者の氏名」の欄には氏名を記載すること(以下この様式において同じ。)

3. 「設立準拠法」の欄にはその設立に当たって準拠した法令を制定した国又は地域の名称を記載すること(個人である場合にあつては国籍等を記載すること。以下この様式において同じ。)

4. 個人である場合にあつては、「設立準拠法」の欄に記載する情報は、当該個人が総務大臣に直接に提出することができる。このとき、当該個人は、特定社会基盤事業者に対し、あらかじめ、総務大臣に直接に提出することを報告することとする(以下この様式において同じ。)

5. 「導入との関係」の欄には、1.の(1)又は(2)のいずれに該当するかを記載した上で、導入に携わる者が行う行為を具体的に記載すること。

6. 「時期」の欄には、特定重要設備を導入するために必要な一連の行為が完了し、役務の提供の用に供する時点に記載すること。具体的な時点が未定である場合には予定年月を記載した上で、「(予定)」と併せて記載すること。

Typical Mistakes in Submitted Documents

- ✓ In **“Date of introduction”** of “2. Details and date of introduction of the specified critical facility,” **it is necessary to provide details of when a series of the necessary processes for the introduction of the facility will be completed and the facility will be available for the provision of infrastructure services.**
- ✓ A period of several months is sometimes provided by mistake. Make sure that the information provided aligns with this definition of the date of introduction.

Example

6. 「時期」の欄には、特定重要設備を導入するために必要な一連の行為が完了し、役務の提供の用に供する時点を記載すること。具体的な時点が未定である場合には予定年月を記載した上で、「(予定)」と併せて記載すること。

Make sure that the information provided follows the instructions.

(Example 1) Specify the date in the format of year, month, and day, such as “June 28, 2025.”

(Example 2) Specify a period such as “from April 2025 to August 2025 (tentative)” when necessary for compelling reasons. Once the date is confirmed, report the change.

2. 特定重要設備の導入内容及び時期

導入の目的		
内容	導入に携わる者に 関する事項①	名称及び代表者の氏名 住所 設立準拠国等 導入との関係
	導入に携わる者に 関する事項②	名称及び代表者の氏名 住所 設立準拠国等 導入との関係
	時期	
	(記載上の注意)	

1. 「導入に携わる者に
関する事項」の欄には、特定重要設備の供給者から、当該特定重要設備を特定社会基盤事業者が導入するまでに経由する者のうち、次の(1)又は(2)に該当する者に関する情報を記載すること。

(1) 特定社会基盤事業者と特定重要設備の供給者との間に介在し、特定重要設備の供給網の管理その他の特定重要設備の導入に当たって重要な役割を有する者

(2) 特定重要設備についてサイバーセキュリティに関する対策の実施状況の確認等の妨害行為の防止に関する実施状況の確認を実施するにあたって、当該特定重要設備の機能に変更を及ぼし得る者

2. 個人である場合においては、「名称及び代表者の氏名」の欄には氏名を記載すること(以下この様式において同じ。)

3. 「設立準拠国等」の欄には、その設立に当たって準拠した法令を制定した国又は地域の名称を記載すること(個人である場合にあつては国籍等を記載すること。以下この様式において同じ。)

4. 個人である場合においては、「設立準拠国等」の欄に記載する情報は、当該個人が総務大臣に直接に提出することができる。このとき、当該個人は、特定社会基盤事業者に対し、あらかじめ、総務大臣に直接に提出することを報告することとする(以下この様式において同じ。)

5. 「導入との関係」の欄には、1.の(1)又は(2)のいずれかに該当するかを記載した上で、導入に携わる者が行う行為を具体的に記載すること。

6. 「時期」の欄には、特定重要設備を導入するために必要な一連の行為が完了し、役務の提供の用に供する時点を記載すること。具体的な時点が未定である場合には予定年月を記載した上で、「(予定)」と併せて記載すること。

Typical Mistakes in Submitted Documents

- ✓ In “3. Information on the supplier of the specified critical facility” and other sections, **the date of confirmation** is sometimes missing from the field for the proportion of voting rights held (%). **Make sure to provide the date of confirmation after checking the format settings in Excel.**

Example

3. 特定重要設備の供給者に関する事項			
(1) 特定重要設備の供給者			
名称及び代表者の氏名			
住所			
設立準拠法外国等			
(2) 特定重要設備の供給者の総株主等の議決権の5%以上を直接に保有する者			
	名称又は氏名	設立準拠法外国等又は国籍等	議決権保有割合 (%) (確認した年月日)
①			90.2
②			
③			
④			
⑤			
⑥			
⑦			
⑧			

(記載上の注意)

- 議決権保有割合は、届出の日前2月以内の日における総株主等の議決権の数に占める割合を、小数点以下第3位を四捨五入して記載すること（以下この様式において同じ。）。
- 「設立準拠法外国等又は国籍等」の欄は、議決権を保有する者が法人である場合には当該法人の設立準拠法外国等を、個人である場合には当該個人の国籍等を記載すること（以下この様式において同じ。）。
- 「設立準拠法外国等又は国籍等」の欄に記載する情報は、特定重要設備の供給者が国土交通大臣に直接に提出することができる。このとき、当該供給者は、特定社会基盤事業者に対し、あらかじめ、国土交通大臣に直接に提出することを報告することとする。

The date of confirmation is missing.
As the date of confirmation must be provided together with the proportion of voting rights held (%), make sure that the date is provided before submission.

3. 特定重要設備の供給者に関する事項			
(1) 特定重要設備の供給者			
名称及び代表者の氏名			
住所			
設立準拠法外国等			
(2) 特定重要設備の供給者の総株主等の議決権の5%以上を直接に保有する者			
	名称又は氏名	設立準拠法外国等又は国籍等	議決権保有割合 (%) (確認した年月日)
①			
②			
③			
④			
⑤			
⑥			
⑦			
⑧			

(記載上の注意)

- 議決権保有割合は、届出の日前2月以内の日における総株主等の議決権の数に占める割合を、小数点以下第3位を四捨五入して記載すること（以下この様式において同じ。）。
- 「設立準拠法外国等又は国籍等」の欄は、議決権を保有する者が法人である場合には当該法人の設立準拠法外国等を、個人である場合には当該個人の国籍等を記載すること（以下この様式において同じ。）。
- 「設立準拠法外国等又は国籍等」の欄に記載する情報は、特定重要設備の供給者が総務大臣に直接に提出することができる。このとき、当該供給者は、特定社会基盤事業者に対し、あらかじめ、総務大臣に直接に提出することを報告することとする。

(3) 特定重要設備の供給者の役員			
	氏名	生年月日	国籍等
①			
②			
③			
④			
⑤			
⑥			
⑦			
⑧			
⑨			
⑩			
⑪			
⑫			
⑬			
⑭			
⑮			
⑯			
⑰			
⑱			
⑲			

Typical Mistakes in Submitted Documents

- ✓ Among the information on direct holders of 5% or more of the voting rights of the supplier (such as names, countries with jurisdiction over incorporation or nationalities, and proportions of voting rights held), **only countries with jurisdiction over incorporation or nationalities can be provided directly to the competent minister**. It is sometimes misunderstood that their names and proportions of voting rights held can also be provided directly to the competent minister.

Example

(2) 特定重要設備の供給者の総株主等の議決権の5%以上を直接に保有する者

	名称又は氏名	設立準拠法国等又は国籍等	議決権保有割合 (%) (確認した年月日)
①			
②			
③			
④			
⑤			
⑥			
⑦			
⑧			

(記載上の注意)

1. 議決権保有割合は、届出の日前2月以内の日における総株主等の議決権の数に占める割合を、小数点以下第3位を四捨五入して記載すること（以下この様式において同じ。）。
2. 「設立準拠法国等又は国籍等」の欄は、議決権を保有する者が法人である場合には当該法人の設立準拠法国等を、個人である場合には当該個人の国籍等を記載すること（以下この様式において同じ。）。
3. 「設立準拠法国等又は国籍等」の欄に記載する情報は、特定重要設備の供給者が〇〇〇〇に直接に提出することができる。このとき、当該供給者は、特定社会基盤事業者に対し、あらかじめ、〇〇〇〇に直接に提出することを報告することとする。

Make sure to check whether the information can be provided directly to the competent minister before submission. The names and proportions of voting rights held of such direct holders cannot be provided directly to the competent minister.

3. 特定重要設備の供給者に関する事項

(1) 特定重要設備の供給者

名称及び代表者の氏名	住所	設立準拠法国等

(2) 特定重要設備の供給者の総株主等の議決権の5%以上を直接に保有する者

	名称又は氏名	設立準拠法国等又は国籍等	議決権保有割合 (%) (確認した年月日)
①			
②			
③			
④			
⑤			
⑥			
⑦			
⑧			

(記載上の注意)

1. 議決権保有割合は、届出の日前2月以内の日における総株主等の議決権の数に占める割合を、小数点以下第3位を四捨五入して記載すること（以下この様式において同じ。）。
2. 「設立準拠法国等又は国籍等」の欄は、議決権を保有する者が法人である場合には当該法人の設立準拠法国等を、個人である場合には当該個人の国籍等を記載すること（以下この様式において同じ。）。
3. 「設立準拠法国等又は国籍等」の欄に記載する情報は、特定重要設備の供給者が総務大臣に直接に提出することができる。このとき、当該供給者は、特定社会基盤事業者に対し、あらかじめ、総務大臣に直接に提出することを報告することとする。

(3) 特定重要設備の供給者の役員

	氏名	生年月日	国籍等
①			
②			
③			
④			
⑤			
⑥			
⑦			
⑧			
⑨			
⑩			
⑪			
⑫			
⑬			
⑭			
⑮			
⑯			
⑰			
⑱			
⑲			

Typical Mistakes in Submitted Documents

- ✓ Among the names, dates of birth, and nationalities of the supplier's officers and other equivalent members (necessary accompanying documents: copies of their passports), **only dates of birth, nationalities, and copies of passports** can be provided directly to the competent minister. It is sometimes misunderstood that their names can also be provided directly to the competent minister.

Example

(3) 特定重要設備の供給者の役員

	氏名	生年月日	国籍等
①			
②			
③			
④			
⑤			
⑥			
⑦			
⑧			
⑨			
⑩			

(記載上の注意)

「生年月日」及び「国籍等」の欄に記載する情報及び当該情報に係る第10条第2項第2号に掲げる書類は、特定重要設備の供給者が〇〇〇〇に直接に提出することができる。このとき、当該供給者は、特定社会基盤事業者に対し、あらかじめ、〇〇〇〇に直接に提出することを報告することとする。

Make sure to check whether the information can be provided directly to the competent minister before submission. The names of officers and other equivalent members cannot be provided directly to the competent minister.

3. 特定重要設備の供給者に関する事項

(1) 特定重要設備の供給者

名称及び代表者の氏名	
住所	
設立準拠法国等	

(2) 特定重要設備の供給者の総株主等の議決権の5%以上を直接に保有する者

	名称又は氏名	設立準拠法国等又は国籍等	議決権保有割合(%) (締結した年月日)
①			
②			
③			
④			
⑤			
⑥			
⑦			
⑧			

(記載上の注意)

- 議決権保有割合は、届出の日前2月以内の日における総株主等の議決権の数に占める割合を、小数点以下第3位を四捨五入して記載すること(以下この様式において同じ。)
- 「設立準拠法国等又は国籍等」の欄は、議決権を保有する者が法人である場合には当該法人の設立準拠法国等を、個人である場合には当該個人の国籍等を記載すること(以下この様式において同じ。)
- 「設立準拠法国等又は国籍等」の欄に記載する情報は、特定重要設備の供給者が総務大臣に直接に提出することができる。このとき、当該供給者は、特定社会基盤事業者に対し、あらかじめ、総務大臣に直接に提出することを報告することとする。

(3) 特定重要設備の供給者の役員

	氏名	生年月日	国籍等
①			
②			
③			
④			
⑤			
⑥			
⑦			
⑧			
⑨			
⑩			
⑪			
⑫			
⑬			
⑭			
⑮			
⑯			
⑰			
⑱			
⑲			
⑳			

Typical Mistakes in Submitted Documents

- ✓ For the introduction of a specified critical facility or the entrustment of critical maintenance and management, etc. to third parties, the Act requires **(1) submitting an introduction, etc. plan, (2) submitting an urgent introduction, etc. notification, (3) notifying (in advance) draft changes to the introduction, etc. plan, and (4) reporting (urgent) changes to the introduction, etc. plan.** These documents include many fields requiring the names, addresses, etc. of suppliers. Such information must **be identical to what appears on the company register**, so make sure that the information that appears on the company register is provided before submission.

Example

構成設備に関する事項				
(1) 概要	構成設備の種類			
	構成設備の名称			
	構成設備の機能			
(2) 供給者	名称及び代表者の氏名		XXXXXX	
	住所		XXXXXX	
	設立準拠法国等		XX	
5 (3) 以上 供給者		名称又は氏名	設立準拠法国等又は国籍等	議決権保有割合 (%) (確認した年月日)
	①			

Make sure that the information provided is identical to what appears on the company register before submission.

Note that the address is not the location of the head office.

4. 構成設備に関する事項			
(1) 概要	構成設備の種類		
	構成設備の名称		
	構成設備の機能		
(2) 供給者	名称及び代表者の氏名		
	住所		
	設立準拠法国等		
5 (3) 以上 供給者の 議決権保有割合の 確認する事項		名称又は氏名	設立準拠法国等又は国籍等
	①		
	②		
	③		
	④		
	⑤		
	⑥		
	⑦		
	⑧		
	⑨		
(4) 供給者の 議決権保有割合の 確認する事項		氏名	生年月日
	①		
	②		
	③		
	④		
	⑤		
	⑥		
	⑦		
	⑧		
	⑨		

Typical Mistakes in Submitted Documents

- ✓ There are many fields that require information about the “location of the plant or place of business that will manufacture the specified critical facility.” However, sometimes the fact that “the supplier of the facility will manufacture the facility on its own at the plant or place of business specified above” is not confirmed. **Make sure to check the requirements of this “Confirmation item.”**

Example

(5) 特定重要設備を製造する工場又は事業場の所在地

工場又は事業場の所在地	
(確認項目) 特定社会基盤事業者は、特定重要設備の導入に当たって、特定重要設備の供給者が単に自らに対し販売を行っているのみならず、上記の「工場又は事業場の所在地」の欄に所在地が記載された工場又は事業場において当該供給者が自ら特定重要設備を製造し、かつ、当該特定重要設備の機能を充足させていることを確認している。	<input type="checkbox"/>

- (記載上の注意)
1. 「工場又は事業場の所在地」の欄には、特定重要設備を製造する工場又は事業場の所在する国又は地域の名称を記載すること（以下この様式において同じ。）。
 2. 確認項目の内容を確認している場合には、その右欄にある□に印を付けること。

Make sure to check the requirements of this “Confirmation item” before submission.

(記載上の注意)
「生年月日」及び「国籍等」の欄に記載する情報及び当該情報に係る第10条第2項第2号に掲げる書類は、特定重要設備の供給者が総務大臣に直接に提出することができる。このとき、当該供給者は、特定社会基盤事業者に対し、あらかじめ、総務大臣に直接に提出することを報告することとする。

(4) 特定重要設備の供給者における外国政府等との取引に係る売上高の割合

該当の有無	事業年度	外国政府等の名称	割合 (%)

(記載上の注意)
1. 提出の日以前に2月前日以前に終了した直近の3事業年度のうち、いずれか1の事業年度における特定重要設備の供給者の売上高の総額の10%以上同一の国又は地域に属する外国政府等との取引に係る売上高の合計額の占める割合が100分の25以上である場合に「該当あり」に印を付ける。それ以外の場合には「該当なし」に印を付けること。
2. 外国政府等の名称「割合」の欄に記載する情報は、特定重要設備の供給者が総務大臣に直接に提出することができる。この場合、当該供給者は、特定社会基盤事業者に対し、あらかじめ、総務大臣に直接に提出することを報告することとする。

(5) 特定重要設備を製造する工場又は事業場の所在地

工場又は事業場の所在地	
(確認項目) 特定社会基盤事業者は、特定重要設備の導入に当たって、特定重要設備の供給者が単に自らに対し販売を行っているのみならず、上記の「工場又は事業場の所在地」の欄に所在地が記載された工場又は事業場において当該供給者が自ら特定重要設備を製造し、かつ、当該特定重要設備の機能を充足させていることを確認している。	<input type="checkbox"/>

(記載上の注意)
1. 「工場又は事業場の所在地」の欄には、特定重要設備を製造する工場又は事業場の所在する国又は地域の名称を記載すること（以下この様式において同じ。）。
2. 確認項目の内容を確認している場合には、その右欄にある□に印を付けること。

Typical Mistakes in Submitted Documents

- ✓ In the section “The supplier’s percentage of sales from transactions with a foreign government, etc.,” even if “not applicable” is checked, it is still necessary to specify the three-year period concerned(*) by providing the start and end dates in the format of year, month, and day.
 - ✓ The three-year period concerned is sometimes missing.
- * The last three business years ending on or before the day two months before the date of notification

Example

(4) 特定重要設備の供給者における外国政府等との取引に係る売上高の割合

該当の有無	該当なし	2021年4月1日	～	2024年3月31日	までの3年間
		重要年度		外国政府等の名称	割合(%)

Even if “not applicable” is checked, it is still necessary to specify the three-year period concerned.

(記載上の注意)
「生年月日」及び「国籍等」の欄に記載する情報及び当該情報に係る第10条第2項第2号に掲げる書類は、特定重要設備の供給者が総務大臣に直接に提出することができる。このとき、当該供給者は、特定社会基盤事業者に対し、あらかじめ、総務大臣に直接に提出することを報告することとする。

(4) 特定重要設備の供給者における外国政府等との取引に係る売上高の割合

該当の有無		～	までの3年間
事業年度	外国政府等の名称	割合(%)	

(記載上の注意)
1. 届出の日より2月前の日以前に終了した直近の3事業年度のうち、いずれか1の事業年度における特定重要設備の供給者の売上高の総額のうち同一の国又は地域に属する外国政府等との取引に係る売上高の合計額の占める割合が100分の25以上である場合は「該当あり」に印を付け、それ以外の場合には「該当なし」に印を付けること。
2. 「外国政府等の名称」及び「割合」の欄に記載する情報は、特定重要設備の供給者が総務大臣に直接に提出することができる。このとき、当該供給者は、特定社会基盤事業者に対し、あらかじめ、総務大臣に直接に提出することを報告することとする。

(5) 特定重要設備を製造する工場又は事業場の所在地

工場又は事業場の所在地	<input type="checkbox"/>
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(確認項目)
特定社会基盤事業者は、特定重要設備の導入に当たって、特定重要設備の供給者が単に自らに対し販売を行っているのみならず、上記の「工場又は事業場の所在地」の欄に所在地が記載された工場又は事業場において当該供給者が自ら特定重要設備を製造し、かつ、当該特定重要設備の機能を充足させていることを確認している。

(記載上の注意)
1. 「工場又は事業場の所在地」の欄には、特定重要設備を製造する工場又は事業場の所在する国又は地域の名称を記載すること(以下この様式において同じ。)
2. 確認項目の内容を確認している場合には、その右欄にある口に印を付けること。

Typical Mistakes in Submitted Documents

- ✓ In “5. Measures to prevent specified disruptive actions” of an introduction, etc. plan for introduction, **there are cases where the risk management measures for the supplier of the specified critical facility and those for the suppliers of the component facilities are not separately provided or where third parties are unable to distinguish which measures apply to which supplier.**

Example

5. 特定重要設備の導入に当たって特定社会基盤事業者が講ずる特定妨害行為を防止するための措置に係る事項

項目	備考
(1) 特定重要設備及び構成設備の供給者における製造等の過程で、特定重要設備及び構成設備に不正な変更が加えられることを防止するために必要な管理がなされ、当該管理がなされていることを特定社会基盤事業者が確認できることを契約等により担保している。	
①-1 特定社会基盤事業者は、特定社会基盤事業者等において、特定重要設備に悪意のあるコード等が混入していないかを確認するための受入検査その他の検証体制が構築されており脆弱性テストが導入までに実施されること [*] を確認している。 ※ 当該特定重要設備の供給者及び当該特定重要設備の構成設備の供給者によって実施されるものを除く。	□
①-2 特定社会基盤事業者 ^{**1} は、特定社会基盤事業者又は特定重要設備の供給者等において、構成設備に悪意のあるコード等が混入していないかを確認するための受入検査その他の検証体制が構築されており脆弱性テストが導入までに実施されること ^{**2} を確認している。 ※1 特定重要設備の供給者を通じて確認している場合も含む。 ※2 当該構成設備の供給者によって実施されるものを除く。	□

Make sure that the entities implementing risk management measures are clearly separated and distinguishable.

項目	備考
(1) 特定重要設備及び構成設備の供給者における製造等の過程で、特定重要設備及び構成設備に不正な変更が加えられることを防止するために必要な管理がなされ、当該管理がなされていることを特定社会基盤事業者が確認できることを契約等により担保している。	
①-1 特定社会基盤事業者は、特定社会基盤事業者等において、特定重要設備に悪意のあるコード等が混入していないかを確認するための受入検査その他の検証体制が構築されており脆弱性テストが導入までに実施されること [*] を確認している。 ※ 当該特定重要設備の供給者及び当該特定重要設備の構成設備の供給者によって実施されるものを除く。	□
①-2 特定社会基盤事業者 ^{**1} は、特定社会基盤事業者又は特定重要設備の供給者等において、構成設備に悪意のあるコード等が混入していないかを確認するための受入検査その他の検証体制が構築されており脆弱性テストが導入までに実施されること ^{**2} を確認している。 ※1 特定重要設備の供給者を通じて確認している場合も含む。 ※2 当該構成設備の供給者によって実施されるものを除く。	□
①-1 特定社会基盤事業者は、特定重要設備の供給者が特定社会基盤事業者等に製造工程に付随して実施するソフトウェア（特定重要設備に利用されるセキュリティソフト）が最新化しているか否か、不正プログラム（マルウェア）を最新化しているか否か等）を導入までに実施することを確認している。	□
①-2 特定社会基盤事業者 ^{**1} は、構成設備の供給者が特定社会基盤事業者又は特定重要設備の供給者によって調達時に指定された脆弱セキュリティ動作（構成設備に最新のセキュリティパッチが適用されているか否か、不正プログラム対策ソフトウェアを最新化しているか否か等）を導入までに実施することを確認している。 ※ 特定重要設備の供給者を通じて確認している場合も含む。	□
②-1 特定社会基盤事業者は、特定重要設備の供給者が、特定重要設備の製造工程（開封工程を含む。）において信頼できる品質保証体制を確立していることを確認している。	□
②-2 特定社会基盤事業者 ^{**1} は、構成設備の供給者が、構成設備の製造工程（開封工程を含む。）において信頼できる品質保証体制を確立していることを確認している。 ※ 特定重要設備の供給者を通じて確認している場合も含む。	□
③-1 特定社会基盤事業者は、特定重要設備の供給者が、特定重要設備の製造工程（開封工程を含む。）における不正な変更の有無について、定期的又は随時に確認を行うことを確認している。	□
③-2 特定社会基盤事業者 ^{**1} は、構成設備の供給者が、構成設備の製造工程（開封工程を含む。）における不正な変更の有無について、定期的又は随時に確認を行うことを確認している。 ※ 特定重要設備の供給者を通じて確認している場合も含む。	□
④-1 特定社会基盤事業者は、特定重要設備の供給者が特定重要設備の製造現場（開封現場を含む。）において、定められた要員以外がアクセスできないよう、アクセス可能な要員を物理的（監視カメラ等の入退管理等）かつ論理的（ゲータシステム等へのアクセス制御）に適切に制限することを確認している。	□
④-2 特定社会基盤事業者 ^{**1} は、構成設備の供給者が構成設備の製造現場（開封現場を含む。）において、定められた要員以外がアクセスできないよう、アクセス可能な要員を物理的（監視カメラ等の入退管理等）かつ論理的（ゲータシステム等へのアクセス制御）に適切に制限することを確認している。 ※ 特定重要設備の供給者を通じて確認している場合も含む。	□
⑤ 特定社会基盤事業者は、特定重要設備をインターネット回線と接続する場合には、特定重要設備に、不正なアクセス等を防ぐための機能を実装し、その利用マニュアル・ガイド等自ら適切に整備・実施している。	□
⑥ 特定社会基盤事業者は、特定重要設備の供給者及び特定重要設備の導入に携わる者が、特定重要設備の設置等に際して不正な変更を加えることを防止する体制を確立していることを確認している。	□
⑦-1 特定社会基盤事業者は、導入した特定重要設備に不正な変更やそのおそれがあることを発見した場合には、特定重要設備の供給者が詳細な調査や立入検査等に協力をすることが担保されていることを確認している。	□

Typical Mistakes in Submitted Documents

- ✓ For risk management measures, the sections that cannot be skipped for ISMAP-registered cloud services are sometimes mistakenly skipped.
- ✓ “Instructions” below “5. Measures to prevent specified disruptive actions” of an introduction, etc. plan for introduction provide that **“2. If a component facility is an ISMAP-registered cloud service, sections (1)-2, (2)-2, (3)-2, (4)-2, (5)-2, (8)-2, (9)-2, and (10)-2 can be skipped for the component facility and the component facilities that comprise it.”**

Example

また、特定社会基盤事業者は、契約締結後に上記の事項について変更があった場合に、適時に情報提供を受けることを契約等により担保している。

(記載上の注意)

- それぞれの項目の措置を講じている場合には、□に印を付けること。印を付けた項目については、当該措置を講じていることを証する書類を添付すること。
- 構成設備がISMAPの登録を受けているクラウドサービスである場合は、当該構成設備及び当該構成設備の一部を構成する構成設備に係る①-2、②-2、③-2、④-2、⑤-2、⑧-2、⑨-2、⑩-2の項目に関する記載を、それぞれ省略することができる。
- それぞれの項目と同一の内容でない場合であっても、同等の特定妨害行為を防止するための措置が実施できていると考えられる場合には、当該措置の内容を、それぞれの項目に対応する備考の欄に記載すること。
- ①-2、②-2、③-2、④-2、⑤-2、⑧-2、⑨-2、⑩-2、⑭-2、⑮-2の項目の措置を講じていることを証する書類は、特定重要設備の供給者又は構成設備の供給者が〇〇〇〇直接に提出することができる。このとき、特定重要設備の供給者又は構成設備の供給者は、それぞれ特定社会基盤事業者又は特定重要設備の供給者に対し、あらかじめ、〇〇〇〇直接に提出することを報告することとし、報告を受けた特定重要設備の供給者は、特定社会基盤事業者に対し、報告を受けた旨を報告することとする。

1. 特定重要設備の概要 2. 導入の内容及び時期 3. 供給者 4. 構成設備 5. 特定妨害行為を防止するための措置 6. 備考

⑥ 特定社会基盤事業者は、特定重要設備を設置し又は使用する場所において、監視カメラやドローン等の映像情報を得ることを目的とした機器を設置し又は使用する場合、当該機器の供給者の本社等（供給者の総株主等の議決権の過半数を直接又は間接に保有する者の本社等を含む。）の立地する場所の法的保護等により、当該機器の映像情報の取扱いの適切性が影響を受けないことを確認している。	□	
(6) 特定社会基盤事業者が、特定重要設備及び構成設備の供給者や委託（再委託を含む。）の相手方に関して、我が国の外部からの影響を判断するに資する情報の提供が受けられることを契約等により担保している。また、契約締結後も当該情報について変更があった場合に、適時に情報提供を受けられることを契約等により担保している。		
⑦ 特定社会基盤事業者は、特定重要設備及び構成設備の供給者の名称・所在地、役員や資本関係等、事業計画や実績、設備又は部品を製造する工場等の所在地、作業に従事する者の所属・専門性（情報セキュリティに係る資格・研修実績等）等に関する情報提供を受けられることを契約等により担保している。	□	
また、特定社会基盤事業者は、契約締結後に上記の事項について変更があった場合に、適時に情報提供を受けることを契約等により担保している。		

(記載上の注意)

- それぞれの項目の措置を講じている場合には、□に印を付けること。印を付けた項目については、当該措置を講じていることを証する書類を添付すること。
- 構成設備がISMAPの登録を受けているクラウドサービスである場合は、当該構成設備及び当該構成設備の一部を構成する構成設備に係る①-2、②-2、③-2、④-2、⑤-2、⑧-2、⑨-2、⑩-2の項目に関する記載を、それぞれ省略することができる。
- それぞれの項目と同一の内容でない場合であっても、同等の特定妨害行為を防止するための措置が実施できていると考えられる場合には、当該措置の内容を、それぞれの項目に対応する備考の欄に記載すること。
- ①-2、②-2、③-2、④-2、⑤-2、⑧-2、⑨-2、⑩-2、⑭-2、⑮-2の項目の措置を講じていることを証する書類は、特定重要設備の供給者又は構成設備の供給者が総務大臣に直接に提出することができる。このとき、特定重要設備の供給者又は構成設備の供給者は、それぞれ特定社会基盤事業者又は特定重要設備の供給者に対し、あらかじめ、総務大臣に直接に提出することを報告することとし、報告を受けた特定重要設備の供給者は、特定社会基盤事業者に対し、報告を受けた旨を報告することとする。

Make sure to check “Instructions” before submission.

Typical Mistakes in Submitted Documents

- ✓ For an introduction, etc. plan for the entrustment of critical maintenance and management, etc., “Instructions” below “4. Information on the subcontract work of critical maintenance and management, etc.” provide that “3. To omit information on the subcontract work of critical maintenance and management, etc. (excluding the information listed in Article XX, item (x) related to the subcontract work of critical maintenance and management, etc.) under Article XX, specify the omission in the field relevant to the information, attach documentation supporting the satisfaction of the requirements of Article XX, and **outline the measures taken in “6. Notes.”**”
- ✓ Despite such omission, the measures taken are sometimes missing from “6. Notes.”

Example

と委託の引相に相手係るに売上げ高の外

事業年度	外国政府等の名称	割合 (%)
2	ペー	ズ

(記載上の注意)

- 再委託を受けた者が他の事業者へ再委託して重要維持管理等を行わせる場合にあっては、当該再委託する重要維持管理等の内容及び時期又は期間並びに当該再委託の相手方に関する事項も記載すること。
- 「重要維持管理等を再委託して行わせる時期又は期間」の欄には、単発・継続性のない重要維持管理等の再委託の場合は当該重要維持管理等を行わせる時期を、反復・継続的な重要維持管理等の再委託の場合は当該重要維持管理等を行わせる期間を記載すること。
- 第XX条の規定に基づき、重要維持管理等の再委託に関する事項（当該重要維持管理等の再委託に係る第XX条第X号に掲げる事項を除く。）の記載を省略するときは、その旨をそれぞれの記載事項に該当する欄に記載した上で、第XX条各号に掲げる場合に該当することを証する書類を添付するとともに、講じた措置の概要を「6. 備考」の欄に記載すること。
- (3)の「設立準拠法国等又は国籍等」の欄に記載する情報は、重要維持管理等の再委託の相手方が〇〇〇〇に直接に提出する

Before submission, check whether any measures need to be provided in “6. Notes.”

氏名	生年月日	国籍等
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
(11)		
(12)		
(13)		
(14)		
(15)		
(16)		
(17)		
(18)		
(19)		
(20)		

事業年度	外国政府等の名称	割合 (%)

(記載上の注意)

- 再委託を受けた者が他の事業者へ再委託して重要維持管理等を行わせる場合にあっては、当該再委託する重要維持管理等の内容及び時期又は期間並びに当該再委託の相手方に関する事項も記載すること。
- 「重要維持管理等を再委託して行わせる時期又は期間」の欄には、単発・継続性のない重要維持管理等の再委託の場合は当該重要維持管理等を行わせる時期を、反復・継続的な重要維持管理等の再委託の場合は当該重要維持管理等を行わせる期間を記載すること。
- 第18条の規定に基づき、重要維持管理等の再委託に関する事項（当該重要維持管理等の再委託に係る第16条第3号に掲げる事項を除く。）の記載を省略するときは、その旨をそれぞれの記載事項に該当する欄に記載した上で、第18条各号に掲げる場合に該当することを証する書類を添付するとともに、講じた措置の概要を「6. 備考」の欄に記載すること。
- (3)の「設立準拠法国等又は国籍等」の欄に記載する情報は、重要維持管理等の再委託の相手方が総務大臣に直接に提出することとなる。このとき、当該再委託の相手方は、重要維持管理等の委託の相手方に対し、あらかじめ、総務大臣に直接に提出する書類を提出することとする。
- (4)の「生年月日」及び「国籍等」の欄に記載する情報及び当該情報に係る第10条第2項第2号に掲げる情報は、重要維持管理等の再委託の相手方が総務大臣に直接に提出することができる。このとき、当該再委託の相手方は、重要維持管理等の委託の相手方に対し、あらかじめ、総務大臣に直接に提出することとする。
- 提出の日を以て終了した年度のうち、いずれか1の事業年度における重要維持管理等の再委託の相手方の売上高の総額のうち同一の国又は地域に属する外国政府等との取引に係る売上高の合計額が100分の25以上である場合は(6)の「該当あり」に印を付け、それ以外の場合は「該当なし」に印を付けること。
- (5)の「外国政府等の名称」及び「割合」の欄に記載する情報は、重要維持管理等の再委託の相手方が総務大臣に直接に提出することができる。このとき、当該再委託の相手方は、重要維持管理等の委託の相手方に対し、あらかじめ、総務大臣に直接に提出することとする。
- 4、5又は7の規定により報告を受けた重要維持管理等の委託の相手方は、特定社会福祉事業者に対し、当該規定による報告を受けた旨を報告することとする。

Annex

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act)/ Designation of specified essential infrastructure business (Cabinet Order)		Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
(-) Electricity	Electricity Retail	—	—	—	—	—
	General electricity transmission and distribution	General electricity transmission and distribution utility as defined in Art. 2, para. (1), item (ix) of the Electricity Business Act (All general electricity transmission and distribution utilities are designated.)	The Okinawa Electric Power Company, Inc. Kansai Transmission and Distribution, Inc. Kyushu Electric Power Transmission and Distribution Co., Inc. Shikoku Electric Power Transmission & Distribution Company, Inc. (Yonden T&D) Chugoku Electric Power Transmission & Distribution Company, Inc. Chubu Electric Power Grid Co., Inc. TEPCO Power Grid, Inc. Tohoku Electric Power Network Co., Inc. Hokuriku Electric Power Transmission & Distribution Company Hokkaido Electric Power Network, Inc.	Supply-and-demand control systems * Facilities responsible for central control critical to power supply	• Maintenance and management • Operations	<ul style="list-style-type: none"> • Monitoring and control servers • Supply and demand calculation servers • Applications for supply-and-demand control operations, OS, and software
				Grid control systems * Facilities responsible for central control critical to power supply		<ul style="list-style-type: none"> • Monitoring and control servers • Operation servers • Applications for grid control operations, OS, and software
	Electricity transmission	Electricity transmission utility as defined in Art. 2, para. (1), item (xi) of the Electricity Business Act (All electricity transmission utilities are designated.)	J-POWER Transmission Network Co., Ltd. Fukushima Transmission Co., Ltd. Hokkaido Northern Wind Power Transmission Co., Ltd.	Grid control systems * Facilities responsible for central control critical to power supply		<ul style="list-style-type: none"> • Monitoring and control servers • Operation servers • Applications for grid control operations, OS, and software
	Electricity distribution	Electricity distribution utility as defined in Art. 2, para. (1), item (xi)-3 of the Electricity Business Act (All electricity distribution utilities are designated.)	No designated service providers (There are no electricity distribution utilities.)	Supply-and-demand control systems * Facilities responsible for central control critical to power supply		<ul style="list-style-type: none"> • Monitoring and control servers • Supply and demand calculation servers • Applications for supply-and-demand control operations, OS, and software
Grid control systems * Facilities responsible for central control critical to power supply				<ul style="list-style-type: none"> • Monitoring and control servers • Operation servers • Applications, OS, and software for system control operations 		
Specified electricity transmission and distribution	—	—	—	—	—	

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
<p align="center">Electricity generation</p> <p align="center">(一) Electricity</p>	<p>Generation system owned: A generation capacity of 500,000 kW or more * The criteria ensure that most of Japan's total generation capacity is covered.</p>	<p>Akita Yurihonjo Offshore Wind LLC. Kashima Power Co. Ltd. Kobe Steel, Ltd. Kobelco Power Kobe 2 Co., Ltd. Kobelco Power Moka Inc. JERA Co., Inc. Chiba-Sodegaura Power Co., Ltd. The Kansai Electric Power Company, Inc. Kyushu Electric Power Company, Inc. Yonden Shikoku Electric Power Co., Inc. Oban Joint Power Co., Ltd. Soma Kyodo Power Company, Ltd. The Chugoku Electric Power Company, Inc. Chubu Electric Power Company, Inc. Electric Power Development Co., Ltd. Tokyo Electric Power Company Holdings, Inc. TEPCO Renewable Power, Inc. Tohoku Electric Power Co., Inc. Nakoso IGCC Power GK The Japan Atomic Power Company Nippon Steel Corp. Hibiki Power Generation LLC. Himeji Natural Gas Power Generation Co., Ltd Himeji Natural Gas Power Generation 3 GK Hirono IGCC Power GK Fukushima Gas Power Co., Ltd. Hokuriku Electric Power Company Hokkaido Electric Power Co., Inc. Mitsubishi Heavy Industries, Ltd. Murakami Tainai Offshore Wind Co., Ltd.</p>	<p>Output control units * Facilities responsible for central control critical to power supply</p>	<ul style="list-style-type: none"> • Maintenance and management • Operations 	<ul style="list-style-type: none"> • Monitoring and control servers • Control units (boiler monitoring and control units, turbine monitoring and control units, etc.) • Applications, OS, and software
	<p>Aggregated power: 500,000 kW or more * The criteria ensure that most of Japan's total generation capacity is covered.</p>	<p>Enel-X Japan Ltd. Chubu Electric Power Miraiz Company, Inc. TEPCO Energy Partner, Inc.</p>	<p>Energy management systems * Facilities responsible for central control critical to power supply</p>		<ul style="list-style-type: none"> • Monitoring and control servers • Applications, OS, and software

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
Gas retail business	—		—	—	—
(2) Gas	<p>General gas pipeline service</p> <p>Number of gas meters installed: 300,000 or more * The criteria ensure that most of the gas customers in Japan are covered.</p>	<p>Osaka Gas Network Co., Ltd. Energy Sola Platforms Co., Ltd. Keiyo Gas Co., Ltd. Saibugas Co., Ltd. Shizuoka Gas Co., Ltd. Gas Bureau, City of Sendai Tokyo Gas Network Co., Ltd. Toho Gas Network Co., Ltd. Hiroshima Co., Ltd Hokuriku Co., Ltd. Hokkaido Gas Co., Ltd.</p>	<p>Control systems for high- and medium-pressure gas supply facilities * Facilities responsible for central control critical to gas supply</p>		<ul style="list-style-type: none"> • Monitoring and control servers • Applications, OS, and software
	<p>Specified gas pipeline service</p> <p>Transporting 1 billion m³ or more of gas per year for suppliers and maintaining and operating pipelines connected to the pipelines of general gas pipeline service providers * Those who supply a large amount of gas to the service areas of general gas pipeline service providers are designated.</p>	<p>Ogishima City Gas Supply Co., Ltd. INPEX JAPAN, LTD. JERA Co., Inc. Japan Petroleum Exploration Co., Ltd.</p>	<p>Control systems for high- and medium-pressure gas supply facilities * Facilities responsible for central control critical to gas supply</p>	<ul style="list-style-type: none"> • Maintenance and management • Operations 	<ul style="list-style-type: none"> • Monitoring and control servers • Applications, OS, and software
	<p>Gas manufacturing business</p> <p>Maintaining and operating a gas manufacturing facility with a production capacity of 200,000 m³ or more per hour * The criteria ensure that most of Japan's total gas manufacturing capacity is covered.</p>	<p>Osaka Gas Co., Ltd. INPEX JAPAN, LTD. JERA Co., Inc. The Kansai Electric Power Company, Inc. Shimizu LNG Daigas G&P Solution Co., Ltd. Tokyo Gas Co., Ltd. Toho Gas Co., Ltd. Hibiki LNG Co., Ltd. Hokkaido Gas Co., Ltd.</p>	<p>Control systems for gas manufacturing units * Facilities responsible for central control critical to gas supply</p>		<ul style="list-style-type: none"> • Monitoring and control servers • Control units (storage tank control units, carburetor control units, etc.) • Applications, OS, and software

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

	Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
石油 (3)	Oil refining	<p>Oil refiner as defined in Art. 2, para. (5) of the Oil Stockpiling Act that owns an oil distillation facility</p> <p>* All oil refiners are designated.</p>	<p>Idemitsu Kosan Co., Ltd. ENEOS Corporation Osaka International Refining Company, Limited Kashima Aromatics Co., Ltd. Kashima Oil Co., Ltd. Cosmo Energy Holdings Co., Ltd. Showa Yokkaichi Sekiyu Co., Ltd. Seibu Oil Co., Ltd Taiyo Oil Company, Limited TOA Oil Co., Ltd. Fuji Oil Company, Ltd.</p>	<p>Control systems * Facilities responsible for central control critical to oil supply</p>	<p>• Maintenance and management • Operations</p>	<p>• CPU (central processing unit) • Control applications and software</p>
	Petroleum gas import business	<p>• Percentage of Japan's total petroleum gas import: 1% or more and • Main use of imported oil: Fuel * The criteria ensure that most of Japan's total petroleum gas import is covered.</p>	<p>Astomos Energy Corporation Iwatani Corporation Eneos Globe Corporation Osaka Gas Co., Ltd Japan Gas Energy Corporation GYXIS Corporation National Federation of Agricultural Cooperative Associations Tokyo Gas Co., Ltd.</p>	<p>Control systems * Facilities responsible for central control critical to oil supply</p>		<p>• CPU (central processing unit) • Control applications and software</p>

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Oct 17, 2024)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
<p align="center">Water supply service other than small-scale water supply service</p>	<p>Population served: More than 1 million * This number is the population that cannot be covered by emergency water supply from other municipalities and prefectures.</p>	<p>City of Sapporo (Sapporo City Water Supply Service) City of Sendai (Sendai City Water Supply Service) Saitama City (Saitama City Water Supply Service) Chiba Prefecture (Chiba Prefecture Water Supply Service) Tokyo Metropolitan Government (Tokyo Metropolitan Water Supply Service) Kanagawa Prefecture (Kanagawa Prefecture Water Supply Service) City of Yokohama (Yokohama City Water Supply Service) City of Kawasaki (Kawasaki City Water Supply Service) City of Nagoya (Nagoya City Water Supply Service) City of Kyoto (Kyoto City Water Supply Service) City of Osaka (Osaka City Water Supply Service) City of Kobe (Kobe City Water Supply Service) The City of Hiroshima (Hiroshima City Water Supply Service) City of Kitakyushu (Kitakyushu City Water Supply Service) Fukuoka City (Fukuoka City Water Supply Service)</p>	<p align="center">Monitoring and control systems for water purification facilities</p> <p>* Facilities responsible for central control critical to water purification</p>	<ul style="list-style-type: none"> Maintenance and management Operations 	<ul style="list-style-type: none"> Monitoring and control servers for water purification OS for monitoring and control of water purification (excluding auxiliary OS not directly involved in monitoring and control) Middleware for monitoring and control of water purification (excluding auxiliary middleware not directly involved in monitoring and control) Applications for monitoring and control of water purification (excluding auxiliary applications not directly involved in monitoring and control)
	<p>Maximum daily water supply capacity: More than 500,000 m³ * This number is the same as the maximum daily water supply capacity for water supply services. * As these service providers do not supply water directly to users, the maximum daily water supply capacity has been adopted as the criterion, instead of the served population.</p>	<p>Miyagi Prefecture (Sennan and Senen Wide-Area Wholesale Water Supply Service) Saitama Prefecture (Saitama Prefecture Wholesale Water Supply Service) Aichi Prefecture (Aichi Prefecture Wholesale Water Supply Service) Okinawa Prefecture (Okinawa Wholesale Water Supply Service) Kitachiba Water Supply Authority (Kitachiba Wide-Area Wholesale Water Supply Service) Kanagawa Water Supply Authority (Kanagawa Prefecture Wide-Area Wholesale Water Supply Service) Osaka Water Supply Authority (Osaka Water Supply Authority Wholesale Water Supply Service) Hanshin Water Supply Authority (Hanshin Water Supply Authority Wholesale Water Supply Service)</p>			
<p>Small-scale water supply service</p>	<p align="center">—</p>		<p align="center">—</p>	<p align="center">—</p>	<p align="center">—</p>

(4) Water

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Designated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)		Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
(㉔) Railway	Type I railway business	Operating distance: 1,000 km or more * The criteria reflect the substitutability of service providers based on their ability to provide mass, high-speed, and punctual transport services for medium- to long-distance passenger travel.	East Japan Railway Company West Japan Railway Company Hokkaido Railway Company Kyushu Railway Company Tokai Railway Company	Train operation control systems * Facilities responsible for central route control critical to train operation		<ul style="list-style-type: none"> • Server units for route control systems • Network units for route control systems • OS • Programs for route control systems
(㉕) Truck transport	General trucking business	<ul style="list-style-type: none"> • Domestic share of actual transport distance, the weight of transported cargo, and the number of owned trucks: 5% or more and • Having offices nationwide * The criteria ensure that about 30% of the total actual land transport distance, the total weight of cargo transported by land, and the total number of owned trucks in Japan are covered. The criteria also reflect the scale of the trucking industry, which has 60,000 operators nationwide, and the substitutability of service providers through transport by other service providers.	Yamato Transport Co., Ltd. Nippon Express Sagawa Express Co., Ltd.	Transport and delivery control systems * Facilities responsible for central control of delivered cargo	<ul style="list-style-type: none"> • Maintenance and management • Operations 	<ul style="list-style-type: none"> • Server units for truck operation management • Programs for truck operation management
(㉖) International maritime cargo	Cargo liner service business and irregular route business, which mainly transports between Japanese ports and ports in regions outside of Japan	Domestic share of the sea transport volume and the number of operating vessels: 10% or more * The criteria ensure that about half of the total sea transport volume and the total number of operating vessels in Japan are covered.	Nippon Yusen Kabushiki Kaisha Mitsui O.S.K. Lines, Ltd. Kawasaki Kisen Kaisha, Ltd	Loading and unloading management systems * Facilities responsible for central yard planning for loading and unloading		<ul style="list-style-type: none"> • Server units for stowage planning • Software for stowage planning

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
<p align="center">(8) Air transport</p> <p>Domestic scheduled air transport service International air transport service</p>	<p>Share of international and domestic flights among specified domestic air carriers: 25% or more</p> <p>* The criteria ensure that at least half of international and domestic flights by specified domestic air carriers are covered.</p>	<p>All Nippon Airways Co., Ltd. Japan Airlines Co., Ltd.</p>	<p>Flight planning systems</p> <p>* Facilities responsible for flight planning essential to aircraft operation</p>		<ul style="list-style-type: none"> • Server units for planning • OS • Programs for planning
<p align="center">(9) Airports</p> <p>Airport establishment and management business Public facility operating projects for airports</p>	<ul style="list-style-type: none"> • Number of passengers using the airport per year: 10 million or more <p>and</p> <ul style="list-style-type: none"> • Managing and operating an airport that serves as an international or domestic air transport hub (excluding government-managed airports) <p>* The criteria ensure that large airports with a significant socioeconomic impact are covered. (Operators of government-managed airports are not designated).</p>	<p>Narita International Airport Corporation New Kansai International Airport Co., Ltd. Kansai Airports Fukuoka International Airport Co., Ltd. Hokkaido Airports Co., Ltd. Central Japan International Airport Co., Ltd.</p>	<p>Constant current adjustment systems for aerodrome lights</p> <p>* Facilities responsible for control of lights that assist in the safe take-off and landing of aircraft</p>	<ul style="list-style-type: none"> • Maintenance and management • Operations 	<ul style="list-style-type: none"> • Control boards • Operation and monitoring panel • Current control software

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
<p align="center">(10) Telecommunications</p> <p>Telecommunications business requiring registration Telecommunications business requiring notification</p>	<ul style="list-style-type: none"> • Having a Category I designated telecommunications facility (including providers of inter-prefectural telecommunications services) or • Having a domestic share of 10% or more of the number of international submarine cables or • Having obtained the government’s approval for its deployment plan for base stations for 5G communications or • Providing a messaging service used by 60 million or more users and used for public services <p>* Service providers that meet the above criteria provide a majority of domestic fixed-line communications lines. * Service providers that meet the above criteria provide a majority of domestic submarine cables. * These service providers provide 5G communications services that will play a critical role in the future mobile network. * These service providers provide a majority of the public with messaging services fundamental to their daily lives.</p>	<p>Nippon Telegraph and Telephone East Corporation Nippon Telegraph and Telephone West Corporation NTT Communications Corporation NTT Limited NTT Docomo, Inc. KDDI Corporation Okinawa Cellular Telephone Company SoftBank Corp. Rakuten Mobile, Inc. LY Corporation</p>	<ul style="list-style-type: none"> • Switching facilities • Facilities that can control telecommunications facilities • Facilities that can manage subscribers for communication connection or authentication • Facilities that can control and monitor submarine cable systems • Messaging facilities <p>* Facilities responsible for switching and control of telecommunications</p>	<ul style="list-style-type: none"> • Maintenance and management • Operations 	<ul style="list-style-type: none"> • Operational software • Node devices • Infrastructure system • Operational applications • OS • Servers • Systems with operation, monitoring, or maintenance functions • Systems for communicating information related to message services
<p>Telecommunications business listed in the items of Art. 164, para. (1) of the Telecommunications Business Act</p>	<p align="center">—</p>		<p align="center">—</p>	<p align="center">—</p>	<p align="center">—</p>

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)		Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Oct 17, 2024)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
(1) Broadcasting	Basic satellite broadcasting	—		—	—	—
	Basic terrestrial broadcasting for mobile reception	—		—	—	—
	Basic terrestrial broadcasting	<p>Television broadcaster that meets the following criteria</p> <ul style="list-style-type: none"> Percentage of its original programs: 25% or more and Coverage rate of households: 25% or more of domestic households (*1) <p>* Broadcasters who air a small proportion of original programs and do not cover many households will have only a limited impact even if they cannot provide stable services.</p> <p>*1 The designated service providers can cover 13 million households (calculated based on the latest TV ownership rate and the number of households in the latest national census).</p>	<p>Japan Broadcasting Corporation Nippon Television Network Corporation TV Asahi Corporation TBS Holdings, Inc. Fuji Television Network, Inc. TV Tokyo Corporation</p>	<p>Program transmission facilities</p> <p>* Facilities responsible for program transmission critical to broadcasting</p>	<ul style="list-style-type: none"> Maintenance and management Operations 	<ul style="list-style-type: none"> Encoders Multiplexing units
(2) Postal service	Postal service	<p>Provider of universal and fair postal service (All postal service providers are designated.)</p>	<p>Japan Post Co., Ltd</p>	<p>General delivery information systems</p> <p>* Facilities responsible for central management of resident lists</p>		<ul style="list-style-type: none"> Operational applications OS Servers Mail sorting systems

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
(2) Financial services	<p>Bank that meets the following criteria</p> <ul style="list-style-type: none"> • Deposit balance: 10 trillion yen or more <p>or</p> <ul style="list-style-type: none"> • Number of accounts: 10 million or more <p>or</p> <ul style="list-style-type: none"> • Number of ATMs: 10,000 or more <p>* The criteria ensure that the designated service providers hold more than 50% of their deposit balance in Japan.</p> <p>* Banks with many accounts or ATMs that may have an extensive impact if they cannot provide their services are also subject to regulation.</p> <p>* The Zengin-net (Japanese banks' payment clearing network), which is used for interbank transactions, is also subject to regulation under a different business category (clearing service for interbank funds transfer).</p>	<p>Mizuho Bank, Ltd. Sumitomo Mitsui Banking Corporation MUFG Bank, Ltd. Resona Bank, Limited Sumitomo Mitsui Trust Bank, Limited Mitsubishi UFJ Trust and Banking Corporation Seven Bank, Ltd. Rakuten Bank, Ltd. Lawson Bank, Inc. Japan Post Bank Co., Ltd. Joyo Bank, Ltd. The Chiba Bank, Ltd. The Bank of Yokohama, Ltd. The Shizuoka Bank, Ltd. The Bank of Fukuoka, Ltd. North Pacific Bank, LTD. Saitama Resona Bank, Limited</p>	<p>Deposit and fund transfer transaction systems</p> <p>* Facilities responsible for core operational processing of banking</p>	<ul style="list-style-type: none"> • Maintenance and management • Operations 	<ul style="list-style-type: none"> • Operational applications, OS, middleware, and other important software • Server units
	<p>Provider of financial services of central organizations of cooperative financial institutions (accepting deposits, lending funds, and fund transfer transactions) under the Credit Union Act, the Small and Medium-Sized Enterprise Cooperatives Act, the Workers' Credit Union Act, and the Norinchukin Act (All central organizations of cooperative financial institutions are designated.)</p>	<p>Shinkin Central Bank The Rokinren Bank The Shinkumi Federation Bank The Norinchukin Bank</p>			
	<p>Financial service provided by workers' credit unions (*2)</p>	<p>—</p>	<p>—</p>	<p>—</p>	<p>—</p>
	<p>Funds transfer service</p> <p>Providers of funds transfer services that meet the following criteria</p> <ul style="list-style-type: none"> • Number of users: 10 million or more <p>and</p> <ul style="list-style-type: none"> • Annual transaction amount: 400 billion yen or more <p>* The criteria ensure that the designated service providers hold more than 50% of the domestic share for the number of users, and the amount handled is also taken into consideration.</p>	<p>Merpay, Inc. PayPay Corporation</p>	<p>Fund transfer transaction systems</p> <p>* Facilities responsible for core operational processing for fund transfer transactions</p>	<ul style="list-style-type: none"> • Maintenance and management • Operations 	<ul style="list-style-type: none"> • Operational applications, OS, middleware, and other important software • Server units
	<p>Other services (provided by credit cooperatives or other cooperative financial institutions)</p>	<p>—</p>	<p>—</p>	<p>—</p>	<p>—</p>

*2 Financial services provided by workers' credit unions have been designated because their services cannot be distinguished from those provided by The Rokinren Bank. However, there are no designation criteria because those provided by workers' credit unions are unlikely to jeopardize national or public security.

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
<p align="center">(13) Financial services</p> <p>Insurance business</p>	<p>Insurance company that meets the following criteria</p> <p>[Licensed life insurance company]</p> <ul style="list-style-type: none"> Insurance and other payments (excluding cancellation refunds, other refunds, and reinsurance premiums): 1 trillion yen or more <p>or</p> <ul style="list-style-type: none"> Number of active policies: 20 million or more <p>* The criteria ensure that the designated service providers hold more than 50% of their share for insurance and other payments (excluding cancellation refunds, other refunds, and reinsurance premiums) in Japan.</p> <p>* Life insurance companies with many active policies that may have an extensive impact if they cannot provide their services are also subject to regulation.</p> <p>[Licensed non-life insurance company]</p> <ul style="list-style-type: none"> Direct net insurance payments: 1 trillion yen or more <p>or</p> <ul style="list-style-type: none"> Number of active policies: 20 million or more <p>* The criteria ensure that the designated service providers cover more than 50% of total domestic direct net insurance payments.</p> <p>* Non-life insurance companies with many active policies that may have an extensive impact if they cannot provide their services are also subject to regulation.</p>	<p>Aflac Life Insurance Japan Ltd.</p> <p>Japan Post Insurance Co., Ltd.</p> <p>Sumitomo Life Insurance Company</p> <p>The Daiichi Life Insurance Company, Ltd.</p> <p>Nippon Life Insurance Company</p> <p>Meiji Yasuda Life Insurance Company</p> <p>Aioi Nissay Dowa Insurance Co., Ltd.</p> <p>Sompo Japan Insurance Inc.</p> <p>Tokio Marine & Nichido Fire Insurance Co., Ltd.</p> <p>Mitsui Sumitomo Insurance Company, Limited</p>	<p>Insurance payment systems</p> <p>* Facilities responsible for core operational processing for insurance proceeds payment</p>	<ul style="list-style-type: none"> Maintenance and management Operations 	<ul style="list-style-type: none"> Operational applications, OS, middleware, and other important software Server units
	<p>Business of operating a financial instruments exchange market</p>	<p>Operator of a financial instruments exchange market (excluding financial instruments exchange markets whose total value of traded securities is less than 75 trillion yen)</p> <p>* Financial instruments exchange markets with low total value of traded securities are excluded because of their limited impact.</p>	<p>Tokyo Stock Exchange, Inc.</p> <p>Osaka Exchange, Inc.</p> <p>Tokyo Financial Exchange</p>		

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
(3) Financial services	<ul style="list-style-type: none"> • Licensed under Art. 156-2 of the Financial Instruments and Exchange Act or • Approved under Art. 156-19, para. (1) of the Act (All licensed or approved service providers are designated.)	Japan Securities Clearing Corporation ASDEC DVP Clearing Corporation Tokyo Financial Exchange	Clearing systems * Facilities responsible for core operational processing for clearing	<ul style="list-style-type: none"> • Maintenance and management • Operations 	<ul style="list-style-type: none"> • Operational applications, OS, middleware, and other important software • Server units
	Type-I financial instruments business operator that meets the following criteria <ul style="list-style-type: none"> • Balance of assets under management: 30 trillion yen or more or • Number of accounts: 5 million or more * The criteria ensure that the designated service providers hold more than 50% of their share of assets under management in Japan. * Service providers with many accounts are also subject to regulation.	SBI Securities Co., Ltd. Mizuho Securities Co., Ltd. Daiwa Securities Co. Ltd. Nomura Securities Co., Ltd. Rakuten Securities, Inc. SMBC Nikko Securities Inc. Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.	Order execution systems * Facilities responsible for core operational processing for type-I financial instruments business		
	Trust business operator whose trust property value (excluding retrust value) is 300 trillion yen or more <ul style="list-style-type: none"> * The criteria ensure that the designated service providers hold more than 50% of their share of trust property value (excluding retrust value) in Japan. 	Custody Bank of Japan, Ltd The Master Trust Bank of Japan, Ltd.	Property management systems * Facilities responsible for core operational processing for trust business		
	Licensed under Art. 64, para. (1) of the Payment Services Act <ul style="list-style-type: none"> * Licensed clearing organizations are designated because they centrally clear funds transfer transactions between financial institutions. 	Japanese Banks' Payment Clearing Network	Funds transfer transaction clearing systems * Facilities responsible for core operational processing for funds transfer transaction clearing		

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
Business issuing prepaid payment instruments for third-party business (excluding those listed in Art. 4 of the Payment Services Act)	Business operator issuing prepaid payment instruments for third-party business that meets the following criteria ・ Annual amount of issued prepaid payment instruments for third-party business: 1 trillion yen or more and ・ Number of affiliated stores where the issued prepaid payment instruments for third-party business can be used: 10,000 or more * The criteria ensure that the designated service providers account for over 50% of Japan's total amount of issued prepared payment instruments for third-party business and take into consideration the number of affiliated stores.	AEON Financial Service Co., Ltd. East Japan Railway Company Seven Card Service Co., Ltd. PayPay Corporation	System issuing prepaid payment instruments * Facilities responsible for core operational processing for issuance of prepaid payment instruments	<ul style="list-style-type: none"> • Maintenance and management • Operations 	<ul style="list-style-type: none"> • Operational applications, OS, middleware, and other important software • Server units
Service under Art. 34 of the Deposit Insurance Act	Operating under Art. 34 of the Deposit Insurance Act (All these service providers are designated.)	Deposit Insurance Corporation of Japan	Bank resolution systems * Facilities responsible for core operational processing for bank resolution		
Service under Art. 34 of the Agricultural and Fishery Cooperatives Savings Insurance Act	Operating under Art. 34 of the Agricultural and Fishery Cooperatives Savings Insurance Act (All these service providers are designated.)	Agricultural and Fishery Co-operative Savings Insurance Corporation	* Facilities responsible for core operational processing for bank resolution		
Book-entry transfer business	Designated under Art. 3, para. (1) of the Act on Book-Entry Transfer of Corporate Bonds and Shares * These designated book-entry transfer organizations are designated because they centrally manage the accrual, transfer, and extinguishment of shareholders' and other rights on book-entry account registers.	JASDEC DVP Clearing Corporation	Book-entry transfer systems * Facilities responsible for core operational processing for book-entry transfer business		
Electronic monetary claims recording business	Designated under Art. 51, para. (1) of the Electronically Recorded Monetary Claims Act (excluding service providers whose balance of electronically recorded monetary claims is less than 1 trillion yen) * Those with a small balance of electronically recorded monetary claims will have only a limited impact even if they cannot record the accrual, assignment, or extinguishment of electronically recorded monetary claims.	Japan Electronic Monetary Claim Organization Mizuho Electronic Monetary Claim Recording Co., Ltd densai.net Co., Ltd.	Electronic monetary claim recording systems * Facilities responsible for core operational processing for electronic monetary claims recording business		

13 Financial services

Scope of Regulation (specified essential infrastructure service providers, specified critical facilities, critical maintenance and management, etc., and component facilities)

Regulated business sectors (Act) / Designation of specified essential infrastructure business (Cabinet Order)	Designation criteria for specified essential infrastructure service providers (Ministerial Order)	Service providers designated as specified essential infrastructure service providers (as of Mar. 11, 2025)	Specified critical facilities (Ministerial Order)	Critical maintenance and management, etc. (Ministerial Order)	Component facilities (Ministerial Order)
<p>(14) Business of conducting intermediation services for comprehensive credit purchases</p>	<ul style="list-style-type: none"> • Number of credit card holders: 10 million or more and • Value of annual credit card transactions: 4 trillion yen or more <p>* The criteria ensure that the designated service providers account for most of both Japan's total value of annual credit card transactions and total number of credit card holders.</p>	<p>AEON Bank, Ltd. NTT Docomo, Inc. Credit Saison Co., Ltd. JCB Co., Ltd. PayPay Card Corporation Sumitomo Mitsui Card Company, Limited Mitsubishi UFJ NICOS Co., Ltd. Rakuten Card Co., Ltd.</p>	<p>Credit card payment approval systems ((1) Core processing (2) Transaction authentication (3) Acceptance of settlement messages (4) Detection of fraudulent transactions (5) Credit inquiry (6) Credit inquiry service, etc.)</p> <p>* Facilities responsible for core operational processing for credit card transactions</p>	<ul style="list-style-type: none"> • Maintenance and management • Operations 	<ul style="list-style-type: none"> • Operational applications, OS, middleware, and other important software • Server units